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공학박사 학위논문

# **Design of Charge Transporting Layers for Organic-Inorganic Hybrid Perovskite Solar Cells**

유무기 하이브리드 페로브스카이트 태양전지의  
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박 민 아

## **Abstract**

# **Design of Charge Transporting Layers for Organic-Inorganic Hybrid Perovskite Solar Cells**

Min-Ah Park

Department of Materials Science and Engineering

The Graduate School

Seoul National University

Organic-inorganic hybrid perovskite materials have significant properties such as high absorption coefficient, long carrier diffusion length, low exciton binding energy, and tunable band gap. Owing to these advantages, organic-inorganic hybrid perovskite materials have attracted considerable attention in photo-electronics field such as solar cells, light emitting diodes, and photodetectors. In particular, solar cells based on organic-inorganic hybrid materials have shown enormous developments in a short time since 2009, rapidly reaching high photoconversion efficiencies over 25%. However, organic-inorganic hybrid perovskite solar cells have issues on the stability, rigidity, and transparency, which limit the commercialization of perovskite solar cells. In this thesis,

the strategies were proposed to address the issue, and the possibility of commercialization was demonstrated through translucent perovskite solar cell structures.

First, a Li-doped  $\text{NiO}_x$  hole-extraction layer that shows significantly improved fill factor compared with the pure  $\text{NiO}_x$  counterpart was demonstrated. It is also found that the annealing temperature for the  $\text{NiO}_x$  layer can be lowered by doping Li ions. However, the Li doping does not influence the photocurrent and photovoltage, leading to the increased conversion efficiency. The conductive atomic force microscope study reveals that the addition of Li ions to  $\text{NiO}_x$  significantly increases the conductivity of the  $\text{NiO}_x$  layer, and thus decreases the series resistance of the device, resulting in the increased fill factor and conversion efficiency.

Second, the GO layer with the appropriate thickness can exhibit high transmittance, extract holes efficiently from the perovskite layer, and block water/oxygen permeation from the substrate, indicating that GO can be used as a good HTM of the perovskite solar cells. In particular, the barrier properties of the GO layer successfully compensate the relatively high water/oxygen permeability of the polyethylene naphthalate (PEN) substrates, and significantly improve the long-term stability of the flexible perovskite solar cells. In addition, transparent perovskite solar cells are prepared by replacing the conventional Ag top electrode with the indium tin oxide (ITO) top electrode, enabling the bi-facial light illumination, thereby allowing various new optoelectronic applications of perovskite materials such as high-efficiency tandem solar cells, building-integrated photovoltaics (BIPVs), and displays.

Third, the Spiro-OMeTAD/ $\text{WO}_3$  double layer enable the perovskite solar cell to have the transparent electrodes on the both sides. The  $\text{WO}_3$  film protects underlying

layers from sputtering damage caused by ITO deposition process and plays a role as hole transporting layer with Spiro-OMeTAD. The perovskite solar cell with transparent electrodes on the both sides exhibits device characteristics comparable to the solar cell with opaque Au electrode. The introduction of WO<sub>3</sub> film through a solution and low temperature method allow the perovskite solar cell to facilitate the application to various devices such as BIPVs, bi-facial solar modules, and the tandem solar cells.

This thesis focused on the solutions to current issues of perovskite solar cells such as lack of stable charge transporting materials, rigid device structure, and opaque electrode. The results including the stable inorganic or carbon-based hole transporting materials, flexibility of device, and transparent structure are expected to propose the possibility to solve the issues of perovskite solar cells and to demonstrate the feasibility of commercialization.

**Keywords:** Perovskite solar cell, Charge transporting layer, Hole transporting layer, Bi-functional layer, Buffer layer, Low temperature process, Long-term stability, Transparent electrode

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# **Chapter 1. Introduction**

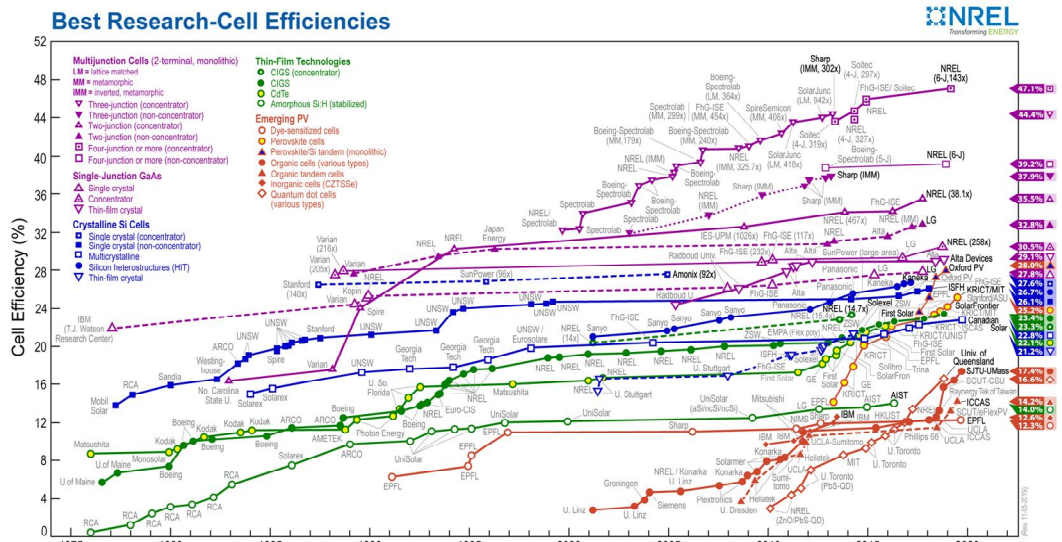
## **1.1 Solar energy as Renewable energy**

Energy consumption in various forms have been increasing rapidly with the development of society and industry. This causes depletion of fossil fuels and environmental pollution, which is a problem to be solved. Therefore, efforts have been made to develop eco-friendly energy around the world. Among eco-friendly energies, the amount of solar energy reaching the surface of the planet is so huge that it is about twice the amount that can be obtained from all non-renewable resources of the earth in one year.[1.1] Various technology have been studied to convert such enormous amounts of solar energy into necessary energy forms such as photovoltaic (PV), solar heating and cooling. In particular, photovoltaic power generation has been studied in various sizes, from large modules to small elements, and can be used in a wide range of applications. However, even the most commonly used silicon-based solar cells cost \$ 0.6 / W, making them less economical than existing energy sources. Therefore, the ultimate goal of current solar cell research is to lower the cost of power generation and then replace fossil and nuclear energy. Grid parity (the point at which solar power generation costs become the same as existing power generation costs) is expected to be achieved by 2020 in the Republic of Korea, but the problem is that it is not easy to lower the cost of silicon solar cells. Therefore, research of next generation solar cell is needed.



## **1.2 Perovskite solar cells**

Recently, organic and inorganic perovskite solar cells have attracted attention as next-generation solar cells that can solve the cost problem of silicon solar cells. Perovskite solar cells use an organic-inorganic composite material with a perovskite structure as a light absorber. The solar cell has most of the properties required for next-generation solar cells, including the advantages of high efficiency, low materials and manufacturing costs, and facile manufacturing methods. Perovskite solar cells first appeared in 2009,[1.2] and developments have been made by applying perovskite materials to dye-sensitized solar cells and organic solar cell structures. Currently, the highest energy conversion efficiency has been improved to a level similar to that of silicon solar cells, recording more than 25% as shown in Figure 1.1.[1.3]



**Figure 1.1** The latest chart on record solar cell efficiencies

### **1.3 Current issues on perovskite solar cells**

Although much research has been conducted for a dramatic increase in perovskite solar cell efficiency, many problems remain for commercialization and application to various devices. First, it is important to ensure the stability of the perovskite solar cells.[1.4, 1.5] Many studies were conducted to replace methyl ammonium ion with inorganic ion such as Ru and Cs, which enhanced the stability of the solar cells.[1.6-1.11] The hole transporting layer, electron transporting layer, and electrodes that make up solar cells have also been researched as factors to improve stability. In addition, the encapsulation technology protects the solar cells from the external environment.[1.12, 1.13]

Flexibility studies have also been conducted for use in various applications and environments of perovskite solar cells. In some studies, glass substrates were replaced with PEN, PET, etc., resulting in flexible perovskite solar cells.[1.14-1.16] Commonly used titanium oxides have been replaced with polymeric materials or doped with metal elements for low temperature processes.

In addition, research has begun to replace opaque metal electrodes with transparent conductive electrodes to ensure device transparency.[1.17, 1.18] By using a perovskite solar cell with transparent electrodes on both sides, the color of the light absorbing material can be displayed as it is. Also, high-efficiency solar cells can be manufactured via tandem elements with other solar cells and can be used in various

applications such as displays and building integrated photovoltaics (BIPVs).

## 1.4 Aim and Strategies

Organic-inorganic hybrid solar cells are attracting attention as next-generation solar cells that are commercially available based on the rapid increase in photoelectric conversion efficiency. While research on organic-inorganic hybrid perovskite materials has been mainly focused, studies on charge transfer layers should be carried out simultaneously for commercialization of solar cells and application to various devices. Therefore, the objective of this thesis is to optimize the electrical and optical properties of charge transporting materials and study the effects on the performance and stability of solar cells through experimental verification.

In chapter 2, the perovskite solar cell is introduced. The structure and classification of the solar cell were described, including light absorbing layer, the perovskite material, the hole transporting material, and the electron transporting material.

In chapter 3, the materials used for the study and the experimental methods are described. The details include the fabrication of solar cells, the method for synthesizing and depositing perovskite, the hole transporting layer, and electron transporting layer. In addition, analytical methods that performs solar cell characteristics and device evaluation were presented.

In chapter 4, the nickel oxide was studied as hole transporting layer. This study presented the possibility of overcoming the device stability limitations of existing organic based materials. In addition, the quantitative correlation between the electrical properties of the Li-doped nickel oxide and the device performance was investigated. An

inorganic oxide was also deposited on the electron transport layer, and a transparent conductive oxide electrode was deposited on the top to realize a perovskite solar cell having a p-i-n structure capable of bidirectional illumination.

In chapter 5, perovskite solar cell was studied utilizing graphene oxide as hole transporting layer. The perovskite solar cell using graphene as the hole transporting layer improved the optical conversion efficiency by controlling the optical characteristics of the electrically uniformly deposited graphene oxide charge transfer layer. The performance and stability evaluation of the device verified that the graphene oxide charge transfer layer had a gas barrier effect in the flexible device and emphasized its versatility. Finally, flexibility and long-term stability were ensured for the p-i-n structure solar cells capable of bi-facial illumination.

In chapter 6, the spiro-OMeTAD/ $\text{WO}_3$  hole transporting double layer was introduced to form a protective layer for the deposition of transparent conductive oxide electrode of n-i-p structure perovskite solar cells. Through the analysis of the thin film structure of the  $\text{WO}_3$  layer and the electrical characteristics of the device, the correlation between the protection layer and the device performance was identified and optimized. In addition, the reliability of the correlation was verified by analyzing the electrical and optical characteristics of the organic / inorganic bilayer depending on the presence and absence of the inorganic layer.

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## Chapter 2. Background and Literature Survey

### 2.1 Perovskite Solar Cell

#### 2.1.1 Perovskite Materials

The perovskite comes from the calcium mineral oxide ( $\text{CaTiO}_3$ ), a mineral found in the Urals of Russia in 1839, named after the Russian mineralogist Lev Perovski (1792-1856).[2.1] The term perovskite is used to refer to a material whose crystal structure is the same as that of  $\text{CaTiO}_3$ . In general, it has the structure of  $\text{ABX}_3$ , where A and B are cations, and X is an anion bound to them. Most of the perovskite materials studied to date have been mainly  $\text{ABO}_3$  oxides, such as  $\text{CaTiO}_3$ ,  $\text{BaTiO}_3$ ,  $\text{MgSiO}_3$ , and  $\text{SrZrO}_3$ . This material exhibits a wide range of conductivity from insulating properties to semiconductors and superconductors depending on the composition. Recently, perovskite materials have been spotlighted as materials for solar cells, and this perovskite has a three-dimensional structure and is composed of the A site of organic cations ( $\text{CH}_3\text{NH}_3^+$ ,  $\text{HC}(\text{NH}_2)_2^+$ ),[2.2-2.4] the B site of metal cations ( $\text{Pb}^{2+}$ ,  $\text{Sn}^{2+}$ ),[2.5-2.7] and the X site of halogen anions ( $\text{I}^-$ ,  $\text{Br}^-$ ,  $\text{Cl}^-$ ).[2.8-2.11] (Figure 2.1)

#### 2.1.2 Operation Principle

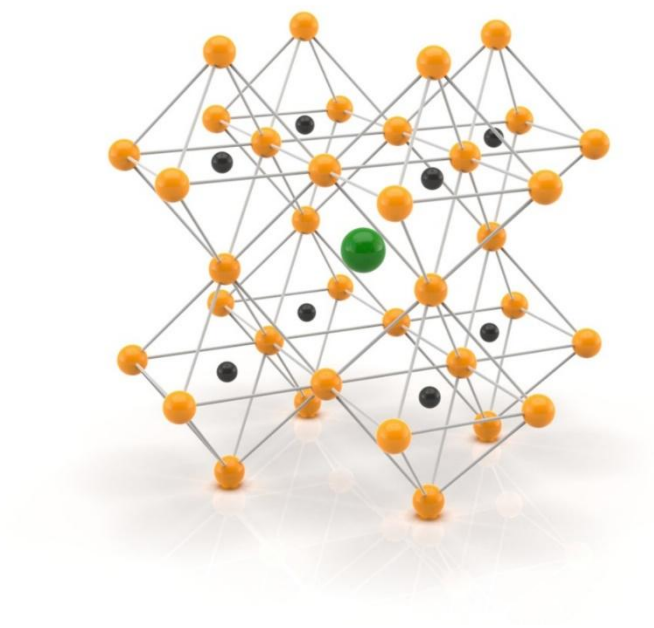
The perovskite layer absorbs light with energy above the perovskite band gap and forms electron-hole pairs. It is dissociated into electrons and holes, respectively,

electrons go out to ETL, holes go out to HTL, and move to each electrode to produce a current.[2.12] (Figure 2.2)

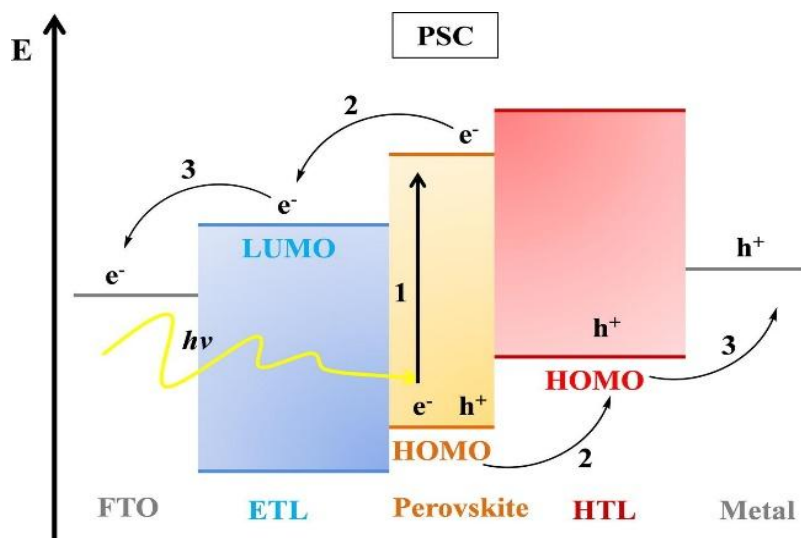
### 2.1.3 Classification

*Mesoscopic vs. Planar structure* Perovskite solar cells are classified into a mesoscopic structure and a planar structure based on the structure of the charge transfer layer. Mesoscopic structures include charge transfer layers that are mesoporous in structures obtained from previous dye-sensitized solar cells. On the other hand, the perovskite exhibits a long carrier diffusion length from hundreds of nanometers to hundreds of micrometers, so that a planar structure can be formed without a mesoporous n-type semiconductor by light-absorbing layer of sufficient thickness.[2.13] (Figure 2.3)

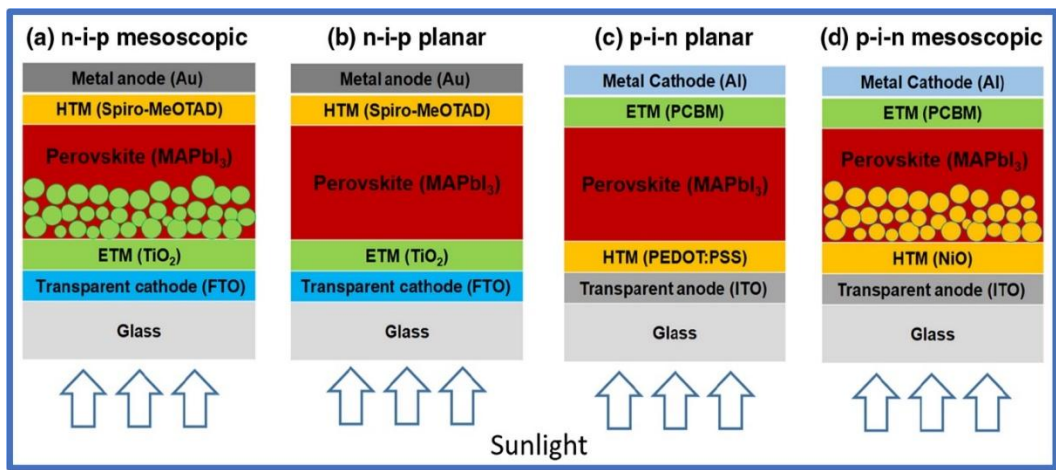
*n-i-p vs. p-i-n* The structure of the device may be divided according to the direction in which electrons and holes are extracted. In n-i-p structure, the electrons are extracted to the TCO electrode and the holes are extracted to the metal electrode. On the contrary, the structure in which holes are extracted to the TCO electrode and electrons to the metal electrode is called p-i-n structure or inverted structure. This classification can be given in order of manufacturing solar cells. Currently, the highest efficiency solar cell is implemented through the n-i-p structure, but the p-i-n structure shows less hysteresis and is easy to make a tandem device with a Si solar cell.[2.13-2.17] (Figure 2.3)



**Figure 2.1** Organic–inorganic hybrid perovskite structure



**Figure 2.2** Schematic diagram of solar cell operation[2.12]



**Figure 2.3** Classification of perovskite solar cells[2.13]

## **2.2 Charge Transporting Materials**

### **2.2.1 Hole Transporting Materials**

The hole transporting layer (HTL), which plays a role in transporting holes generated in the perovskite layer, should have a valence band maximum (VBM) higher than that of the perovskite. In the p-i-n structure, since light reaches the perovskite layer through the HTL, it must have a large bandgap energy so as not to interfere with the absorption of light. Also, there should be no reactivity with the perovskite layer because it directly contacts with the perovskite layer. NiO, PEDOT: PSS, CuSCN, CuI, etc. are used in the p-i-n structure,[2.18-2.21] and spiro-OMeTAD, PTAA, etc. are used in the n-i-p structure.[2.22, 2.23] In the early stages of research, the focus was on improving the efficiency, but recently, research has been conducted to satisfy more conditions such as application to the flexible solar cell and improvement of stability as well as the high efficiency.

### **2.2.2 Electron Transporting Materials**

The electron transporting material has a lower conduction band minimum (CBM) than that of the perovskite material and is required excellent charge transfer properties for smooth transfer of electrons generated in the perovskite material. In the n-i-p structure, the band gap energy of ETL must be large so as not to affect the light absorption of the perovskite layer. TiO<sub>2</sub> and ZnO are mainly used in the n-i-p structure

solar cells.[2.24-2.26] In the study using the p-i-n structure, PCBM was deposited by a solution process using a nonpolar solvent that does not dissolve perovskite material, or C<sub>60</sub> was deposited by thermal evaporation.[2.27, 2.28] In recent years, research on the development of characteristics of ETM has shown that the electron collecting efficiency is increased to increase the photoelectric conversion efficiency of the solar cell device, and it has been found to be a factor to increase the reliability of the device by reducing the hysteresis when measuring the device.[2.29, 2.30]



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## **Chapter 3. Experiments**

### **3.1 Preparation of Charge Transporting Layers**

#### **3.1.1 Li-doped NiOx films**

A nickel oxide solution containing 0.1 M nickel (II) acetate tetrahydrate (Sigma-Aldrich, > 99.0% purity) and ethanol was dispersed by sonication. Nickel oxide films were prepared by spin-coating at 1000 rpm 0.1 M nickel (II) acetate tetrahydrate solution on ITO-coated glass substrates, followed by drying for 10min and annealing at 200 C for 1hr. In case of Li-doped nickel oxide film, the concentrations of Li in the precursor solution were adjusted by mixing lithium acetate dehydrate (Sigma-Aldrich, 99.999%) to form 2, 5, 10, and 15% Li-added solutions

#### **3.1.2 Graphene Oxide Films**

GO was synthesized according to the modified Hummer's method.[3.1, 3.2] Graphite (6 g) was pre-oxidized for 24 h with potassium persulfate (12 g) and phosphorus pentoxide (12 g) dissolved in sulfuric acid (60 mL) at 80°C. Pre-oxidized graphite was filtrated and rinsed with plenty amount of DI water. After kept under room temperature in vacuum oven, dried expanded graphite (2 g) was dispersed in H<sub>2</sub>SO<sub>4</sub>. Dispersed solution was stored at 0°C and was stirred at 35°C with 250 rpm for 2 h when it was reacted KMnO<sub>4</sub> (12 g). Then, excess amount of ice and H<sub>2</sub>O<sub>2</sub> (10 mL) was added

to the solution. This mixture was centrifuged at 13000 rpm for 20 min, and HCl washing and re-centrifugation was repeated 3 times. Then, GO suspension was neutralized with DI water and centrifugation. The synthesized GO was obtained with a concentration of 6.3 mg mL<sup>-1</sup> in DI water and kept in a cold state. GO solution was prepared by diluting the as-synthesized GO in DI water at various concentrations: 0.5, 1.0, 2.0, 3.0, and 6.3 mg mL<sup>-1</sup>. The GO solution was spin-coated on cleaned ITO substrates at 3000 rpm for 60 s, and the films were immediately dried at 120 °C for 20 min. The different thicknesses of the GO layers were achieved by changing the precursor concentration of the GO solution under the same spin-coating condition.

### **3.1.3 Spiro-OMeTAD/WO<sub>3</sub> Double Layers**

A spiro-OMeTAD solution was prepared by dissolving spiro-OMeTAD (80 mg), 4-tert-butylpyridine (tBP, 8.4 µL), and lithium-salt solution (54.6 µL stock solution, 156 mg Bis(trifluoromethane) sulfonimide lithium salt/1 mL acetonitrile) in 1 mL of chlorobenzene. Spiro-OMeTAD solution was dropped on the perovskite thin film and maintained for 20 s, followed by spin-coating at 2500 rpm for 40 s. The tungsten oxide buffer layers were fabricated by solution processing from tungsten oxide nanoparticle ink (5% w/v in isopropanol) and then thermal annealing at 120 °C for 5 min in air. The different thicknesses of the WO<sub>3</sub> layers were achieved by changing the precursor concentration and the spin-coating condition.

## **3.2 Device Fabrication**

### **3.2.1 TCO Substrate**

A indium tin oxide (ITO) glass was used as a substrate for perovskite solar cells. Before the deposition of the charge transport layer, the ITO glass was cleaned with acetone, ethanol, and deionized water followed by drying with a nitrogen stream to remove impurities like oils and dust. And The substrates were treated with UV-ozone for 15 min before use.

### **3.2.2 CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> Perovskite Film**

The 1.5 M perovskite precursor solution containing MAI and PbI<sub>2</sub> (1:1 molar ratio) in anhydrous dimethylformamide (DMF):dimethyl sulfoxide (DMSO) (9:1 v/v%) was stirred for more than 2 h at room temperature. The HTL-coated substrates were transferred to a nitrogen-filled glovebox, and the perovskite precursor was spin-coated at 4000 rpm for 25 s. During the spin-coating, ethyl ether was dropped onto the spinning substrates. The samples were immediately placed on the preheated hotplate and annealed for 1 min at 65°C and then 30 min at 100°C.

### **3.2.3 Electron Transporting Layers and Metal Electrode**

An approximately 20-nm C<sub>60</sub> layer was deposited on samples by thermal

evaporation, and diluted PEIE was spin-coated on top of C<sub>60</sub> at 6000 rpm for 30 s. The samples were then removed from the glovebox, and their fabrication was completed by thermally evaporating Ag electrodes under vacuum.

### **3.2.4 Transparent Electrode**

For fabrication of a bi-facial perovskite solar cell, ITO was sputtered after PEIE coating. The Ag electrode was deposited by thermal evaporation through a patterned shadow mask to allow the devices to have a transparent conducting oxide (TCO) on both sides.



## 3.3 Characterizations

### 3.3.1 Materials Characteristics

The crystallographic properties of the charge transporting films were characterized by X-ray diffraction (XRD; model: D8 advanced, Bruker) using Cu-K $\alpha$  source ( $\lambda=1.54060$  Å, 40 kV, 30 mA,  $\theta$ -2 $\theta$  mode). The film morphology and the composition of the samples were analyzed by a scanning electron microscope (FEG-SEM; model: Merlin compact, ZEISS) equipped with an energy dispersive X-ray spectroscopy system (EDS; model: APOLLO X, EDAX). The crystalline phases and structures are analyzed by x-ray photoelectron spectroscopy (XPS, Model: SIGMA PROBE, Thermo VG, UK). Optical transmittance was measured by a UV-VIS spectrophotometer (Model: Cary5000, Agilent). The thickness of the deposited films was measured using a surface profiler (Alpha step model KLA-Tencor) and cross-sectional image of SEM. The surface profile and surface roughness of the films were examined by an atomic force microscope (AFM; model: Kelvin Probe Microscope, Park Systems NX-10). The potential of the layers was measured by SKPM (Park Systems, NX-10) and photoelectron spectroscopy in air (PESA, Riken, AC-2). Photoluminescence spectra was obtained by spectrofluorometer (Jasco, FP-8500). The water vapor transmission rate (WVTR) of the PEN substrates depending on the presence of GO deposition was measured at approximately 38 °C and 100% R.H. using Permatran-W 3/33 MA (Mocon) according to ASTM F1249. The oxygen transmission rate (OTR) was measured at

approximately 23 °C using OX-TRAN 702 (Mocon) according to ASTM D3985.

### **3.3.2 Photovoltaic Characteristics**

The current density–voltage ( $J$ – $V$ ) characteristics were measured using a potentiostat (CHI 608C, CH Instruments) under AM 1.5G illumination ( $100 \text{ mW cm}^{-2}$ ) using a solar simulator (Peccell Technologies) and calibrated using as reference cell (PV Measurements). The conventional perovskite solar cells and bi-facial perovskite solar cells were masked with a metal aperture to define active areas of 0.14 and  $0.1875 \text{ cm}^2$ , respectively. The external quantum efficiency of the solar cells was measured using a commercial incident photon-to-current conversion efficiency (IPCE) system (Model; G1218A, PV Measurements).

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# **Chapter 4. Enhanced electrical properties of Li-doped NiO hole transporting layer in p-i-n type perovskite solar cells**

## **4.1 Introduction**

Organometal halide perovskite solar cells are very promising owing to their high and rapidly increasing conversion efficiency,[1.1-1.3] and the highest certified conversion efficiency already reached 22.1%.[1.4] A typical perovskite solar cell is consisting of stacked layers of the FTO substrate, TiO<sub>2</sub> nanoparticle layer, perovskite layer, spiro-OMeTAD layer, and the metal top electrode, which is known as the n-i-p type mesoscopic structure. Although champion efficiencies of the perovskite solar cells have been achieved using the n-i-p type mesoscopic structure, it has some issues for the commercialization such as high-temperature annealing of TiO<sub>2</sub> nanoparticle film, high materials cost of spiro-OMeTAD, hysteresis during J-V measurements, and low stability of spiro-OMeTAD.[1.5-1.7] On the other hand, the p-i-n type planar structure, consisting of a stacked layer with an inverse sequence has advantages of the negligible hysteresis effect and the low processing temperatures.[1.8, 1.9] A typical p-i-n type planar device is consisting of the TCO substrate, PEDOT:PSS hole-transporting layer, perovskite layer, PCBM electron-transporting layer, and the metal top electrode. However, it is known that PEDOT:PSS could be bad for device lifetime due to its

hydroscopic and acidic nature facilitating the decomposition of perovskite materials.[4.10] As an alternative to PEDOT, nickel oxide ( $\text{NiO}_x$ ) has been attracting interest due to its large optical bandgap (3.6 eV) and deep valence band (5.4 eV), which forms a favorable energy level alignment with perovskite materials.[4.11, 4.12] In addition, the use of the inorganic  $\text{NiO}_x$  layer instead of the organic PEDOT:PSS layer should be beneficial to the stability of the perovskite solar cells. However, the resistivity of  $\text{NiO}_x$  that is significantly higher than the PEDOT:PSS may cause some issues in device performances especially associated with the series resistance of the device. Several previous studies indeed reported the lower fill factor of the  $\text{NiO}_x$ -based perovskite solar cells.[4.13, 4.14] Doping acceptors to  $\text{NiO}_x$  can alleviate the losses in fill factor and  $J_{sc}$  by modulating its conductivity, as is evidenced by the engineering the HTLs used in perovskite solar cells.[4.15, 4.16]

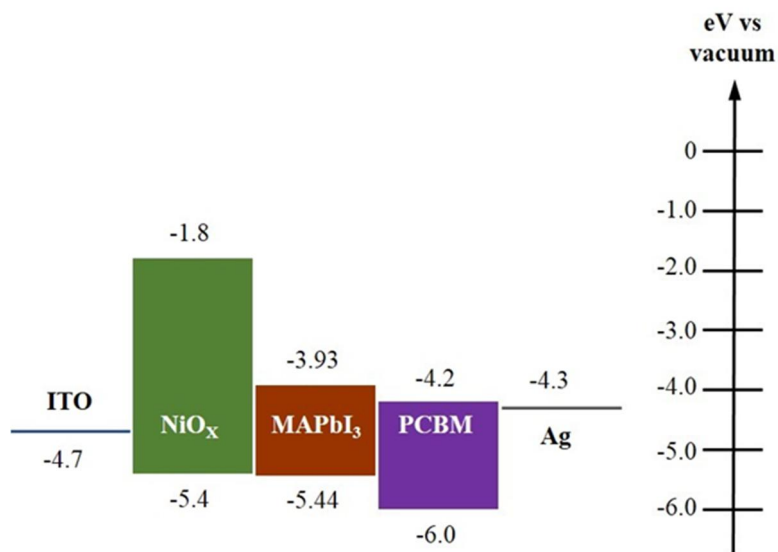
Here I demonstrate a Li-doped  $\text{NiO}_x$  hole-extraction layer that shows significantly improved fill factor compared with the pure  $\text{NiO}_x$  counterpart. It is also found that the annealing temperature for the  $\text{NiO}_x$  layer can be lowered by doping Li ions. However, the Li doping does not influence the photocurrent and photovoltage, leading to the increased conversion efficiency. The conductive atomic force microscope study reveals that the addition of Li ions to  $\text{NiO}_x$  significantly increases the conductivity of the  $\text{NiO}_x$  layer, and thus decreases the series resistance of the device, resulting in the increased fill factor and conversion efficiency.

## 4.2 Properties of Li-doped NiO

Figure 4.1 shows an energy diagram of the p-i-n type perovskite solar cell incorporating the NiO<sub>x</sub> hole-extraction layer,[4.17] where it can be found that the valence band of NiO<sub>x</sub> is properly positioned for extracting photoexcited holes from the light-absorption layer. In spite of the proper band alignment, however, the thickness of the NiO<sub>x</sub> layer has to be maintained as thin as possible for minimizing the series resistance originating from the insulating property of inorganic NiO<sub>x</sub>. For instance, it has been reported that the thickness of NiO<sub>x</sub> should be controlled less than 10 nm for achieving good device performance.[4.18] However, it is very likely that the extremely thin NiO<sub>x</sub> layer includes significant amount of pinholes unless it is deposited using special techniques like atomic layer deposition, leading to the shunt conductance and poor device performances.[4.19] As can be seen from the cross-sectional image (Figure 4.2), therefore, a relatively thick (~ 50 nm) NiO<sub>x</sub> layer has been deposited in this study. The SEM image clearly shows typical stacked layers of a p-i-n type perovskite solar cell consisting of an ITO substrate (180 nm), NiO<sub>x</sub> layer (50 nm), CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> (215 nm), PC<sub>61</sub>BM (65 nm), and Ag electrode (120 nm).

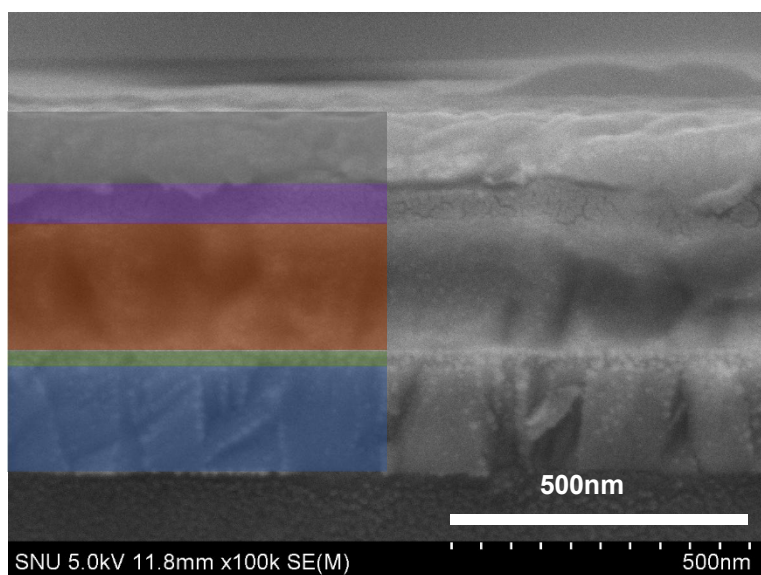
Figure 4.3 shows Li 1s X-ray photoelectron spectroscopy (XPS) spectra which investigates Li-doped NiO<sub>x</sub> film formed by 10 at% Li-added NiO<sub>x</sub> precursor solution. The Li-doped NiO<sub>x</sub> hole extraction layers with various Li concentration have been prepared by spin coating a NiO<sub>x</sub> precursor solution with different amounts of lithium acetate dehydrate as lithium source on ITO substrates. The peak observed at 54.72 eV corresponds to Li and its atomic concentration is calculated to be 2.74 at%. Thus, it can

be concluded that the actual amount of Li doping is smaller than the amount of Li in the precursor solution, although there must be the linear dependence between them. The optical properties of  $\text{NiO}_x$  films with various concentration of Li have been investigated by UV-Vis spectroscopy. Figure 4.4 shows transmittance and reflectance spectra of Li-doped  $\text{NiO}_x$  films deposited on the ITO substrate with different Li concentration respectively. Both of the transmittance and reflectance spectra exhibit negligible difference depending on the amount of the Li addition, so it can be concluded that the optical properties of the devices would not be influenced by the addition of Li, either.

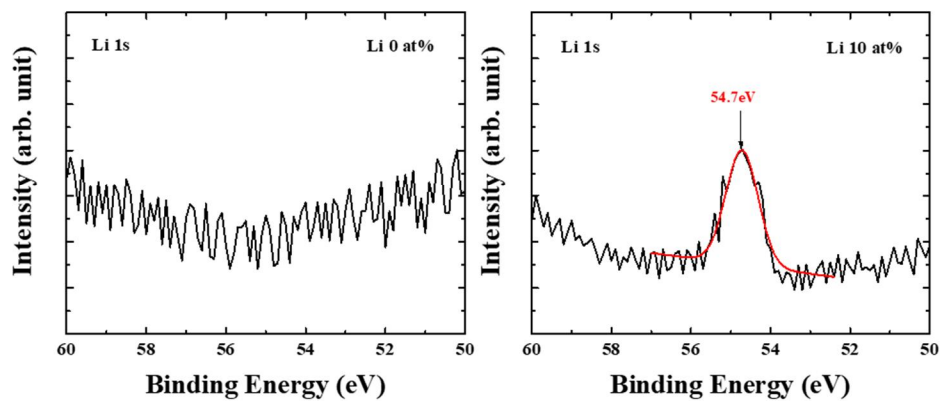


**Figure 4.1** Energy diagram of p-i-n type perovskite solar cell using nickel oxide as hole extraction layer.

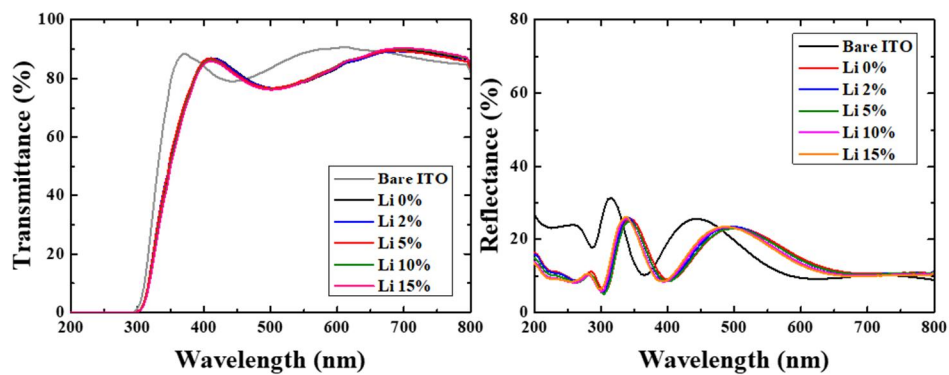




**Figure 4.2** Cross-sectional SEM image of the ITO/NiO<sub>x</sub>/MAPbI<sub>3</sub>/PC<sub>60</sub>BM/Ag electrode.



**Figure 4.3** XPS spectra at the Li 1s of the NiO<sub>x</sub> film (left) and the Li-doped NiO<sub>x</sub> film (Right) from the narrow scan data



**Figure 4.4** UV-Vis spectra showing the transmittance (left) and reflectance (right) of the various Li-doped  $\text{NiO}_x$  films

### 4.3 Photovoltaic Performances

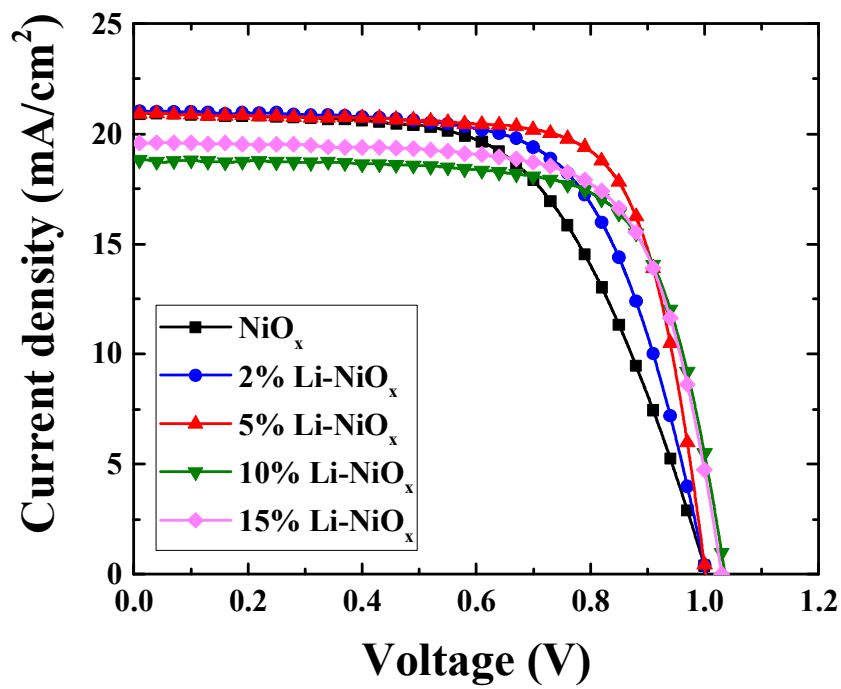
Figure 4.5 shows the  $J$ – $V$  curves of the  $\text{NiO}_x$ -based perovskite solar cells with different amounts of Li addition (*i.e.* Li doping), where the best device with the 5% Li- $\text{NiO}_x$  layer shows the highest conversion efficiency of 15.41%, resulting from the  $J_{sc}$ ,  $V_{oc}$ , and fill factor of 20.89  $\text{mA}/\text{cm}^2$ , 1.00 V, and 0.74 respectively. Figure 4.6 and Table 4.1 show statistical information about the effect of the Li addition on the solar cell parameters, which shows qualitatively the same trends as the  $J$ – $V$  curves of the best devices (Figure 4.5). The  $J_{sc}$  of the  $\text{NiO}_x$ -based devices slightly change (less than 5%) with the Li concentration, and the  $V_{oc}$  is essentially not influenced. On the other hand, the fill factor significantly increases with the Li addition up to 5 at% (from 59% to 72% in average), and then slightly decrease for higher amounts. As a result, the perovskite solar cell with the Li-doped  $\text{NiO}_x$  hole-extraction layer formed from 5 at% Li-added  $\text{NiO}_x$  precursor exhibits the highest average conversion efficiency of 14.66%, which is improved by 21% compared with the pure  $\text{NiO}_x$  hole-extraction layer.

Figure 4.7 shows the influence of the Li addition on the electrical conductivity of the  $\text{NiO}_x$  film measured by the conductive atomic force microscopy (c-AFM). All samples used for c-AFM have the same thickness of 100 nm, and the current at a bias voltage of  $-1.0\text{V}$  was measured. Assuming that the contact area by the same AFM tip is similar, the electrical conductivity of  $\text{NiO}_x$  films must be proportional to current, and it can be concluded that the resistance of the 5% sample should be the lowest. Also the series resistance of the devices calculated from the  $J$ – $V$  curves (Fig. 3a) is the lowest for the 5% sample. The low resistance of the  $\text{NiO}_x$  layer should lead to the low series

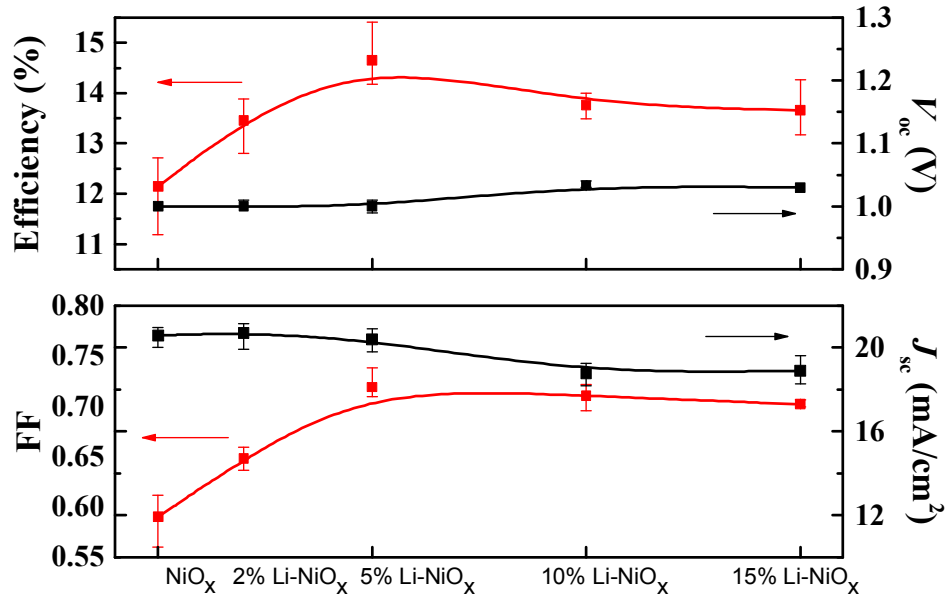
resistance of the device, and thus to the higher fill factor of solar cells,[4.19, 4.20] which is consistent with the  $J-V$  curves (Figure 4.5). One can assume that the facilitated charge extraction at the perovskite/ $\text{NiO}_x$  interface can be another reason for the increased fill factor, which can be investigated by the PL quenching study. Figure 4.8 compares the steady state PL spectra of perovskite/ $\text{NiO}_x$  bilayer films with and without the Li doping. The PL spectra of two different samples are almost identical, indicating that the charge injection at the perovskite/ $\text{NiO}_x$  interface is not influenced by the Li doping. Therefore, it can be concluded that the increased fill factor of the Li-doped  $\text{NiO}_x$ -based device is mostly resulting from the low series resistance.[4.9, 4.21]

**Table 4.1** Solar cell parameter of p–i–n type perovskite solar cells with various Li-doped NiO<sub>x</sub> layers

Li %	$J_{sc}$ (mA/cm <sup>2</sup> )	$V_{oc}$ (V)	FF	Efficiency (%)
0	20.57±0.51	1.00 ± 0.00	0.59 ± 0.03	12.15 ± 0.83
2	20.70 ± 0.68	1.00 ± 0.01	0.65 ± 0.01	13.45 ± 0.58
5	20.38 ± 0.55	1.00 ± 0.01	0.72 ± 0.02	14.66 ± 0.66
10	18.75 ± 0.53	1.03 ± 0.01	0.71 ± 0.01	13.76 ± 0.25
15	18.89 ± 0.68	1.03 ± 0.00	0.70 ± 0.00	13.66 ± 0.56

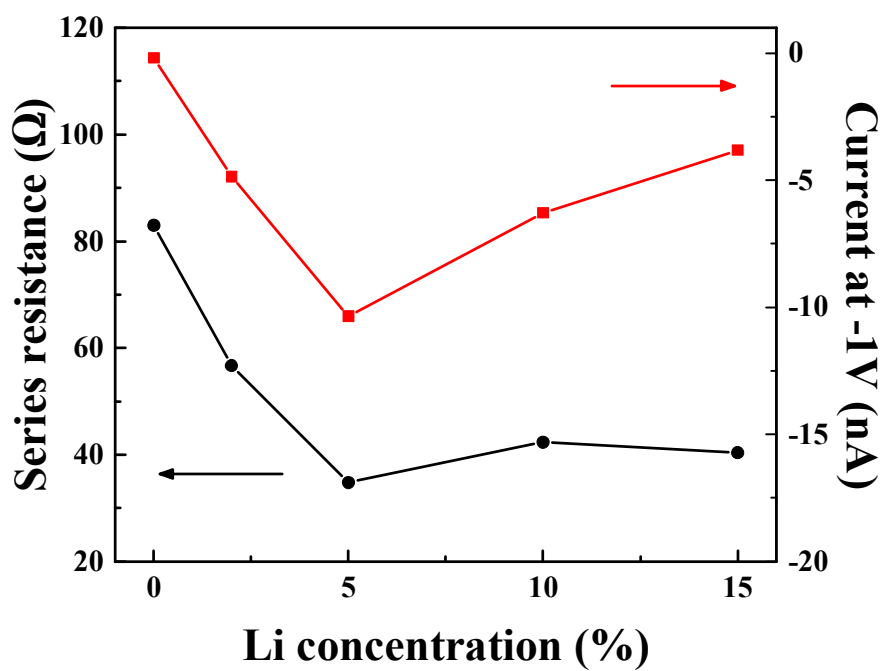


**Figure 4.5**  $J$ – $V$  curves of the best performing devices with various Li-doped  $\text{NiO}_x$  layers

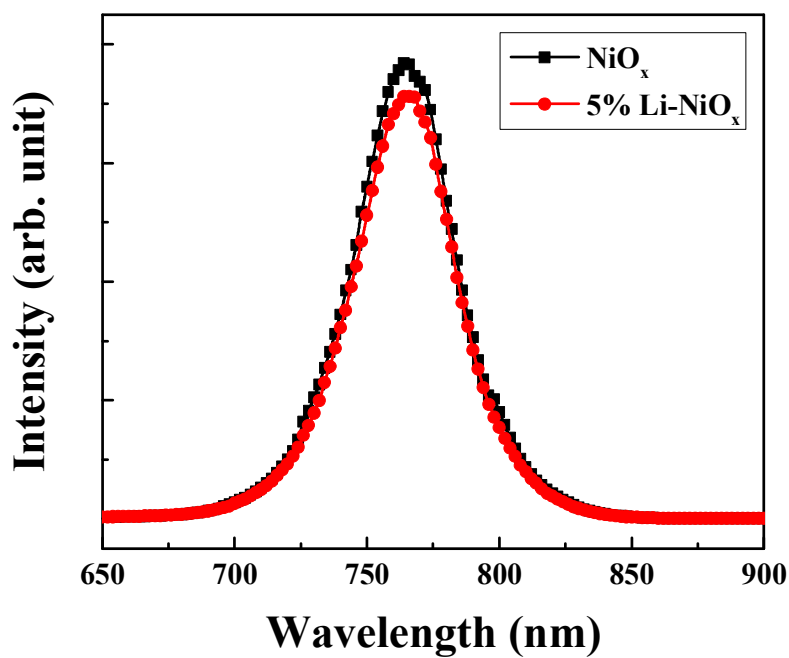


**Figure 4.6**  $J$ - $V$  characteristics of the perovskite solar cells with Li-doped NiO<sub>x</sub> layers as a function of the concentration of Li





**Figure 4.7** The series resistance of the devices and the electric current of various Li-doped  $\text{NiO}_x$  film at a bias potential of -1.0 V.



**Figure 4.8** Photoluminescence spectra of the MAPbI<sub>3</sub> on the NiO<sub>x</sub> film and Li-doped NiO<sub>x</sub> film form by 5 at% Li-added NiO<sub>x</sub> precursor solution respectively. (excited by 530nm laser).

## 4.4 Conclusion

In summary, p–i–n type planar perovskite solar cells incorporating the Li-doped  $\text{NiO}_x$  hole-extraction layer have been demonstrated, and the influence of the Li doping on the device performance has been investigated. The fill factor of the  $\text{NiO}_x$ -based perovskite solar cell can be significantly increased by doping Li to  $\text{NiO}_x$ , while the  $J_{sc}$  and  $V_{oc}$  are merely influenced. The increased fill factor has been ascribed to the increased electrical conductivity, evidenced by the conductive AFM measurement. It should be noted that the functioning  $\text{NiO}_x$  layer could be prepared at low temperature of 200 °C. As a result, the p–i–n type planar perovskite solar cell exhibits an improved conversion efficiency of 15.41% by incorporating the low-temperature Li-doped  $\text{NiO}_x$  hole extraction layer.

## 4.5 Bibliography

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# **Chapter 5. Bi-functional Graphene Oxide Hole-Transporting and Barrier Layer for Transparent Bifacial Flexible Perovskite Solar Cells**

## **5.1 Introduction**

In the approximately 10 years since methylammonium lead iodide (MALI) and methylammonium lead bromide (MALBr) were first introduced as visible-light sensitizers for photovoltaic cells,[5.1] the maximum efficiency of organic–inorganic hybrid perovskite solar cells has reached ~25.2%.[5.2] This high efficiency in a short period is attributed to the outstanding properties of organic–inorganic hybrid perovskite materials, including their high absorption coefficients,[5.3, 5.4] long charge-carrier lifetime,[5.5-5.9] large diffusion length,[5.8-5.12] and ambipolar transport properties.[5.13-15] Perovskite solar cells with the certified high efficiency generally possess the n-i-p structure used in dye-sensitized solar cells;[5.16-5.21] however, the high-temperature processing of n-type materials and the chemical instability of p-type materials have limited the various selection of materials and the device geometry.[5.4, 5.18, 5.22, 5.23] On the other hand, perovskite solar cells with the p-i-n structure can be applied in various forms without being limited by the materials selection as the charge-transport materials are processed at a relatively low temperature.[5.24-27]

In the p-i-n structure, the incident light reaches the perovskite absorption layer

through the p-type materials; therefore, high transparency of p-type materials is required. Considering the manufacturing process, deposition of the top layer over the p-type material requires a physically and chemically durable p-type material.[5.24] In addition, p-type materials must have a proper band alignment with the perovskite material to achieve efficient hole extraction from the perovskite layer.[5.28] Although poly(3,4-ethylenedioxythiophene) polystyrene sulfonate (PEDOT:PSS) is the most commonly used p-type hole transport material (HTM), its acidic and hygroscopic properties and electrical inhomogeneity have been critical issues for the stability of the perovskite solar cells.[5.29, 5.30] P-type oxide materials such as NiO have also been studied; however, their use is limited by the required high-temperature.[5.31-5.35] Therefore, there have been continuous efforts to identify new HTMs that can overcome the limitations, and the graphene oxide (GO) has emerged as a potential alternative to the conventional HTMs.[5.36] GO has advantages of low cost and good stability, but it has suffered from the issue of low conductivity.[5.37] So far, thus, GO has used either in the mixture form with conventional p-type materials (e.g. PEDOT:PSS and oxides),[5.38-5.42] or with the chemical modifications like attaching functional groups,[5.43-5.46] and chemical reduction.[5.47, 5.48] This process, however, complicates the manufacturing process and offsets the economic benefits of using GO.

In this study, we demonstrate that the GO layer with the appropriate thickness can exhibit high transmittance, extract holes efficiently from the perovskite layer, and block water/oxygen permeation from the substrate, indicating that GO can be used as a good HTM of the perovskite solar cells. In particular, the barrier properties of the GO layer successfully compensate the relatively high water/oxygen permeability of the



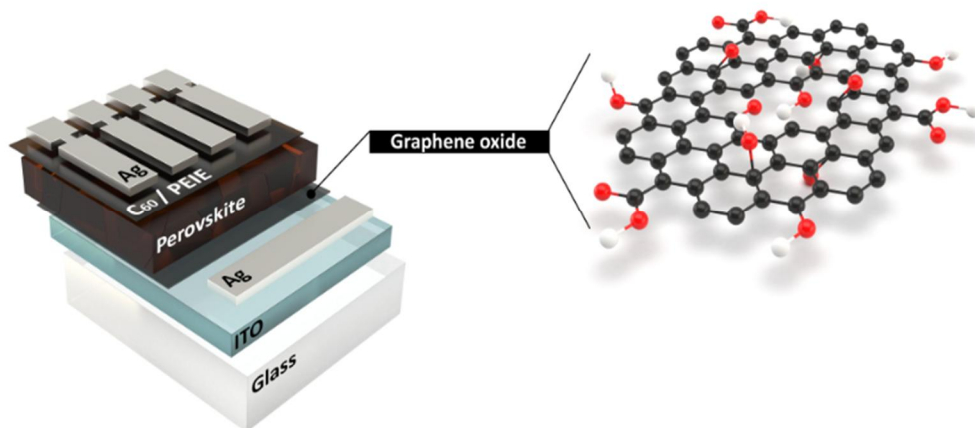
polyethylene naphthalate (PEN) substrates, and significantly improve the long-term stability of the flexible perovskite solar cells. In addition, transparent perovskite solar cells are prepared by replacing the conventional Ag top electrode with the indium tin oxide (ITO) top electrode, enabling the bi-facial light illumination, thereby allowing various new optoelectronic applications of perovskite materials such as high-efficiency tandem solar cells, building-integrated photovoltaics (BIPVs), and displays.

## 5.2 Properties of Graphene Oxide Layer

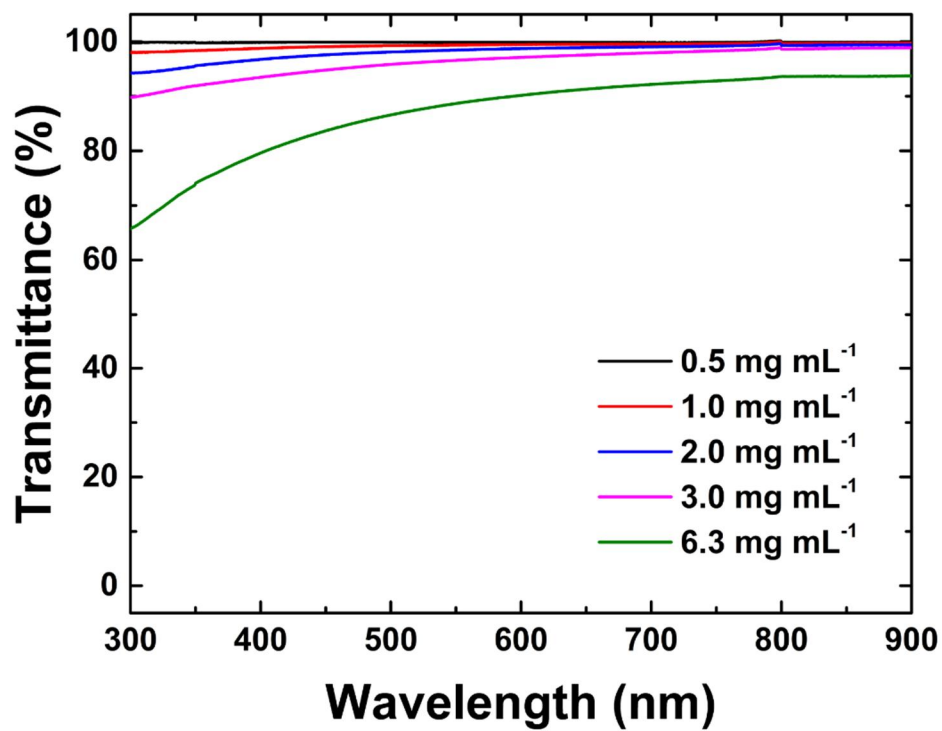
Figure 5.1 presents a schematic diagram of a perovskite solar cell with p-i-n structure. A graphene oxide (GO) hole-transporting layer (HTL) was deposited on an ITO-coated substrate, followed by the sequential deposition of a perovskite light absorbing layer, electron transport layer, and a metal electrode. A poly(3,4-ethylenedioxythiophene) polystyrene sulfonate (PEDOT:PSS) HTL was used for a comparison without modifying the other components. NiO-based HTLs which generally exhibit better performances than the PEDOT:PSS counterpart cannot be used due to the limited processing temperature of the plastic substrate. Both of the GO and PEDOT:PSS HTLs were annealed at relatively low temperature of 120 °C. Three major requirements for the good HTL are the photon transmission, electron blocking, and the hole extraction/transport. Firstly, the photon transmission capability of the GO HTL layer has been investigated by the UV-Vis spectroscopy. Figure 5.2 shows the transmittance spectra of GO-HTL-coated ITO/glass substrates with various thicknesses of the GO HTL, where the thickness was changed by changing the concentration of the GO coating solution (0.5, 1.0, 2.0, 3.0, and 6.3 mg mL<sup>-1</sup> at 3000 rpm). Given that the bandgap of CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub> is 1.55 eV,[5.49] the transmittance of the HTL layer under 800 nm is particularly important for preventing the parasitic absorption losses. The transmittance of the GO-coated ITO substrates decreases with the increasing concentration, but most of the samples exhibit good enough transmittance (> 90%) over the whole range of the wavelength except for the highest concentration of 6.3 mg mL<sup>-1</sup>. Secondly, the electron

blocking capability of the GO HTL has been studied. The uniformity of the GO layer is very important for efficient blocking of the back electron transfer or the leakage current. Considering the roughness ( $R_{\text{rms}}$ ) of the ITO substrate (4.6 nm, Figure 5.3(a)) and the thickness of the GO monolayer ( $\sim 1\text{nm}$ ),<sup>[5.50]</sup> the uniform coverage of the ITO substrate by the GO layer has to be confirmed. Figure 5.4 displays the surface properties of a typical GO-coated ITO substrate ( $0.5\text{ mg mL}^{-1}$ , 3000 rpm). The surface morphology observed in the high-resolution FE-SEM image (left in Figure 5.4) is similar to that of the bare ITO substrate, but clear contrast between dark (or thick) and bright (or thin) parts can also be found. The SKPM study was performed for further investigating the differences and for confirming the complete coverage by the GO layer. The AFM topology (center in Figure 5.4) of the same sample (different area from the SEM image) shows a clear step of approximately 16 nm, which is consistent with the SEM analysis. However, the potential mapping at the same spot (right in Figure 5.4) shows no contrast at all with a measured work function of 5.02 eV (cf. 4.83 eV for bare ITO, Figure 5.3(b)), so it can be concluded that the ITO substrate is completely covered by the same material. The photoelectron spectroscopy in air (PSEA) measurement shown in Figure 5.5 reveals that the work function values of bare and GO-coated ITO substrate are 4.97 and 5.29 eV, respectively. Therefore, it can be concluded that the GO layer consisting of multilayer GO flakes is completely covering the ITO substrate. It is noteworthy that the  $R_{\text{rms}}$  of GO-coated ITO substrate (4.2~4.6 nm) remains almost same as that of bare ITO substrate (4.6 nm), while that of PEDOT:PSS-coated ITO substrate is significantly smaller (2.4 nm, Figure 5.3(c)). Thirdly, the hole extraction capability of the GO HTL has been investigated by the PL quenching study. Figure 5.6 compared the steady-state PL spectra

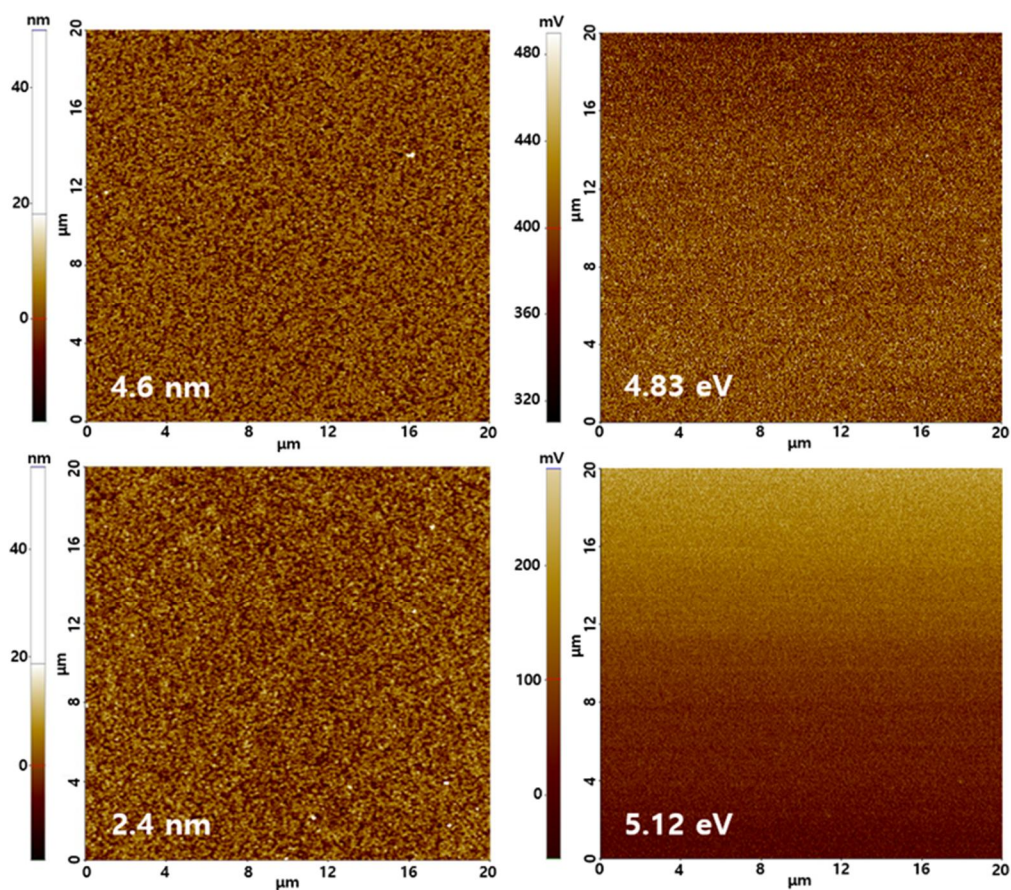
(excitation at 530 nm) of the MALI perovskite layer deposited on the ITO substrate with various HTLs. PEDOT:PSS is a well-known HTL material with a proper band alignment with MALI, so the PL peak is quenched almost completely. Similarly, the GO HTL also exhibits excellent PL quenching, confirming the proper band alignment with MALI. Therefore, it can be concluded that the GO layer in this study can be used for the HTL of the perovskite solar cells.



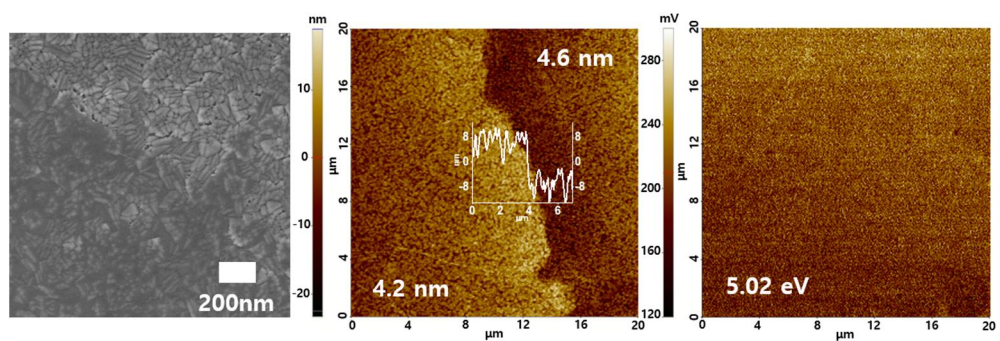
**Figure 5.1** Schematic structure of a typical perovskite solar cell with a GO HTL



**Figure 5.2** Transmittance spectra of glass/ITO/GO samples with different concentrations of GO coating solutions.

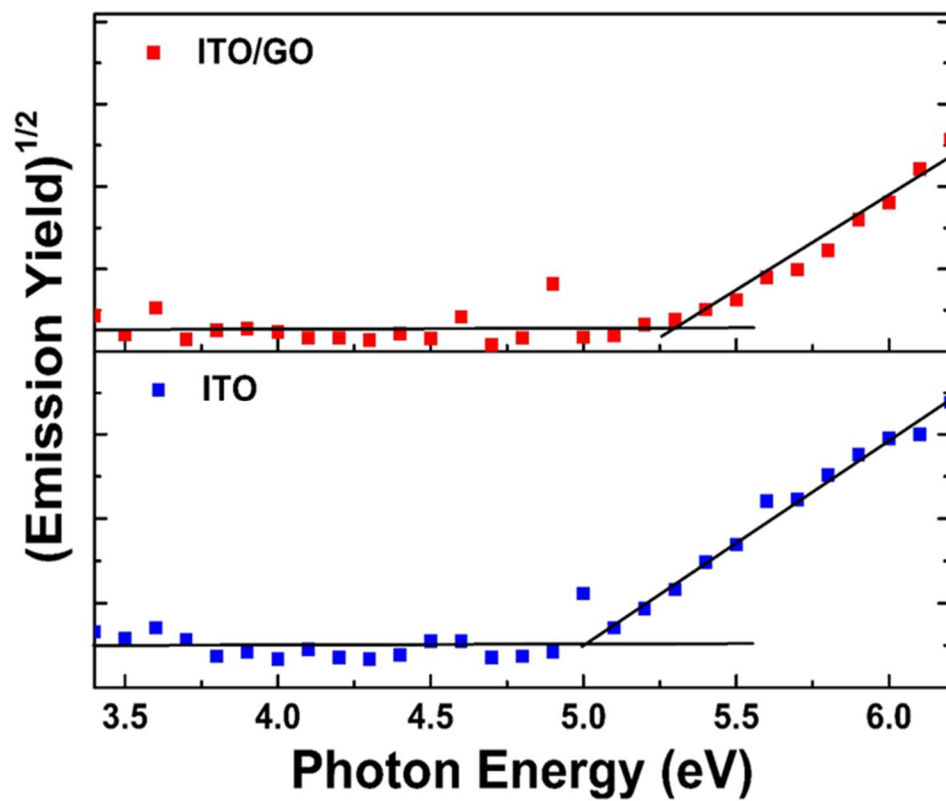


**Figure 5.3** (a) AFM topography and (b) potential mapping images of a bare ITO substrate. (c) AFM topography and (c) potential mapping images of a PEDOT:PSS-coated ITO substrate

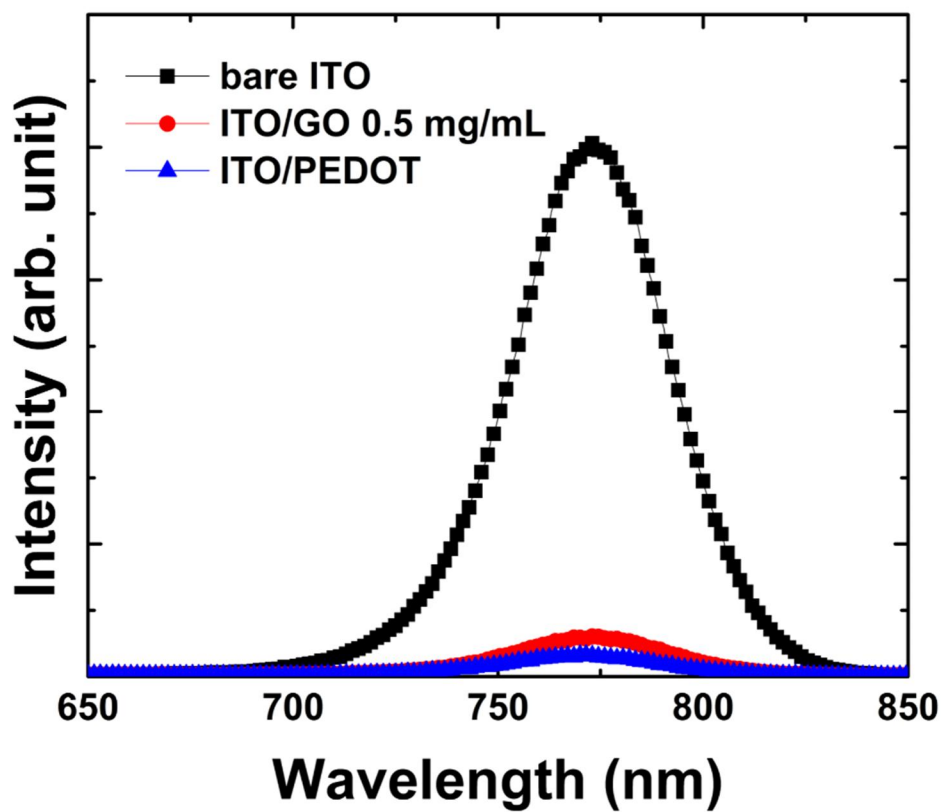


**Figure 5.4** SEM (left), AFM topography (middle), and potential mapping (right) images of the glass/ITO/GO sample (concentration of  $0.5 \text{ mg mL}^{-1}$ ).





**Figure 5.5** Photoelectron emission spectra of the ITO/GO and bare ITO substrates



**Figure 5.6** Steady-state PL spectra of perovskite layers deposited on various substrates.

### 5.3 Perovskite Solar Cell with GO HTL

Figure 5.7 and Table 5.1 present the statistical photovoltaic (PV) performances of perovskite solar cells as a function of the GO solution concentration (spin coating at 4000 rpm). The average short-circuit current density ( $J_{sc}$ ) of the perovskite solar cell increases from 11.53 to 18.19  $\text{mA cm}^{-2}$  with decreasing GO solution concentration from 6.3 to 0.5  $\text{mg mL}^{-1}$ . The increased  $J_{sc}$  can be mainly ascribed to the higher transmittance of thinner GO HTLs. The open-circuit voltage ( $V_{oc}$ ) is barely influenced by the HTL thickness except for the slight reduction of the thinnest HTL. Fill factor increases with the decreasing solution concentration (*i.e.* HTL thickness), which is a consequence of the reduced series resistance ( $R_s$ ) as observed from the stiffer slope of  $J-V$  curves of thinner HTLs near  $V_{oc}$  (Figure 5.8). As a result, the perovskite solar cells with the thinnest GO HTL exhibit the highest average conversion efficiency of 11.98% (best efficiency of 12.31%). This observation shows that the hole transport across the GO HTL can cause a series resistance issue due to its low conductivity, and thus reducing its thickness while maintaining the uniform coverage is important for achieving good PV performances. Therefore, the device performances with lower concentrations (*i.e.* 0.5 and 1.0  $\text{mg mL}^{-1}$ ) are further optimized by changing the spin coating speed from 4000 to 3000 rpm. The statistical PV performances are summarized in Table 1, and the  $J-V$  curves of the devices with efficiencies close to the average value of each coating condition are presented in Figure 5.9. In general, thinner HTLs (*i.e.* lower concentration and higher spinning rate) lead to the better performances. However, the devices with the HTL

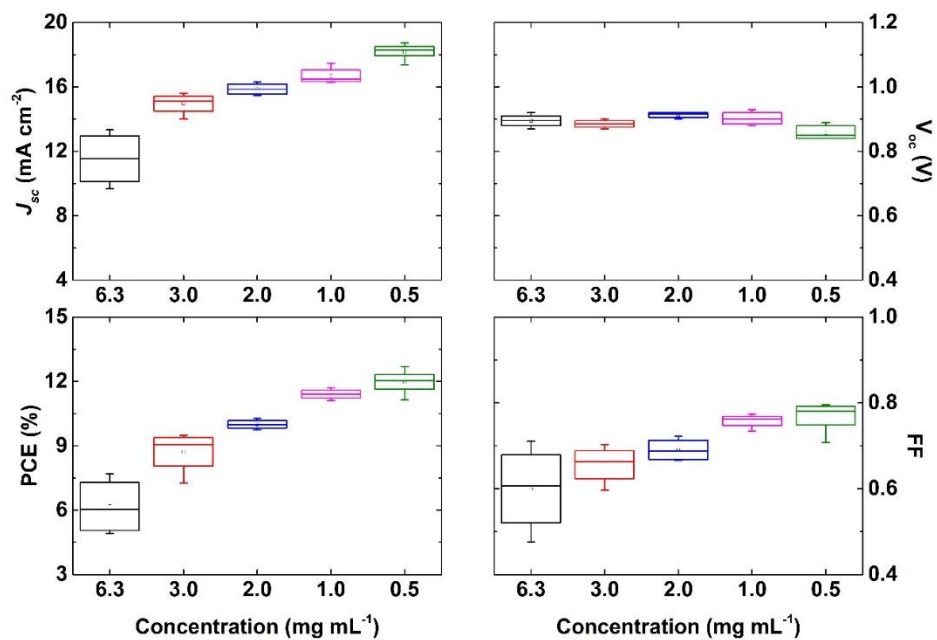
prepared with the condition of  $0.5 \text{ mg mL}^{-1}$  at 3000 rpm exhibit slightly better efficiency (average efficiency of 12.31%) with better reproducibility, so the same condition has been used for preparing flexible and transparent solar cells.

**Table 5.1** Photovoltaic parameters of perovskite solar cells as a function of GO concentration.

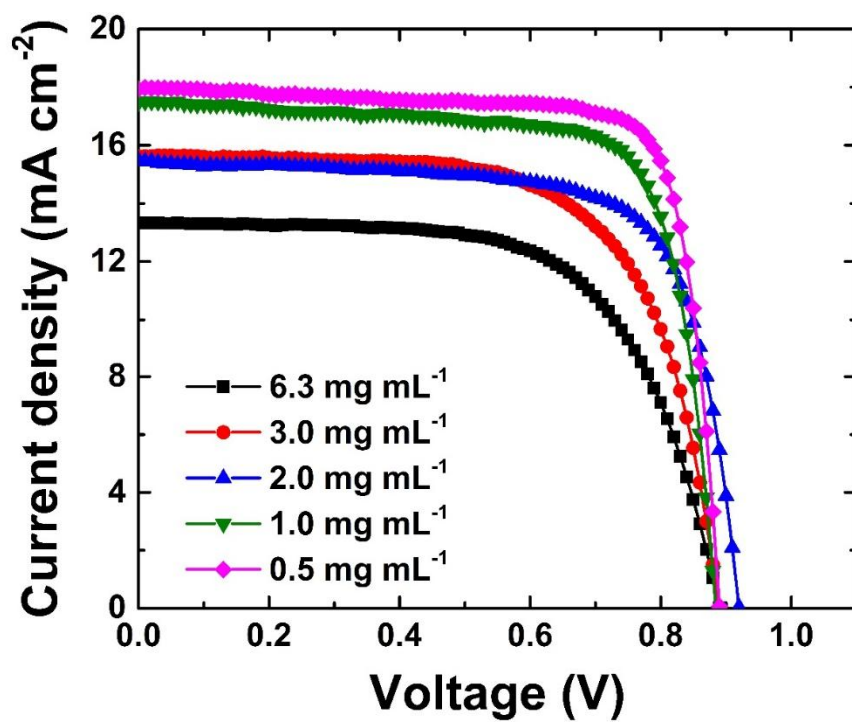
Concentration	$J_{sc}$ (mA cm <sup>-2</sup> )	$V_{oc}$ (V)	Efficiency (%)	FF
6.3 mg mL <sup>-1</sup>	11.53±1.71	0.90±0.02	6.17±1.33	0.60±0.10
3.0 mg mL <sup>-1</sup>	14.96±0.68	0.89±0.01	8.71±1.00	0.66±0.05
2.0 mg mL <sup>-1</sup>	15.87±0.39	0.91±0.01	10.00±0.23	0.69±0.03
1.0 mg mL <sup>-1</sup>	16.69±0.55	0.90±0.02	11.41±0.25	0.76±0.02
0.5 mg mL <sup>-1</sup>	18.19±0.46	0.86±0.02	11.98±0.49	0.77±0.03

**Table 5.2** Photovoltaic parameters of perovskite solar cells as a function of GO concentration and spin-coating speed.

	$J_{sc}$ (mA cm <sup>-2</sup> )	$V_{oc}$ (V)	Efficiency (%)	FF
1.0 mg mL <sup>-1</sup> , 3000rpm	16.68±0.40	0.83±0.02	9.27±1.24	0.67±0.09
1.0 mg mL <sup>-1</sup> , 4000rpm	16.81±0.37	0.85±0.01	11.03±0.37	0.77±0.01
0.5 mg mL <sup>-1</sup> , 3000rpm	18.36±0.43	0.85±0.02	12.31±0.24	0.79±0.01
0.5 mg mL <sup>-1</sup> , 4000rpm	18.19±0.46	0.86±0.02	11.98±0.49	0.77±0.03

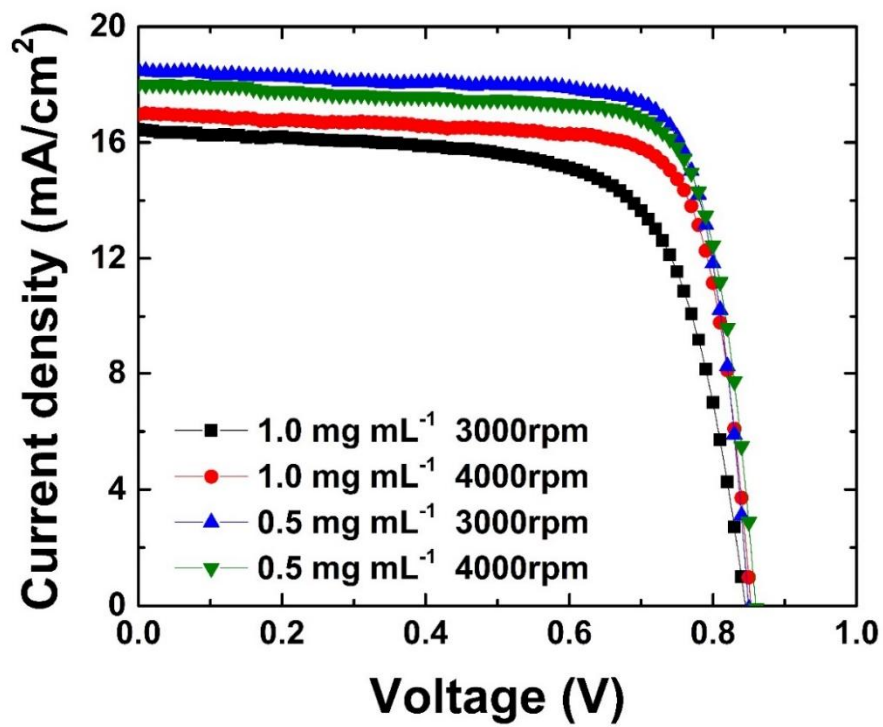


**Figure 5.7** Statistical characteristics of perovskite solar cells with various concentrations of GO solutions.



**Figure 5.8** Optimal J-V curves of perovskite solar cells with various concentrations of GO solutions.





**Figure 5.9**  $J$ - $V$  curves of perovskite solar cells with various preparation conditions.

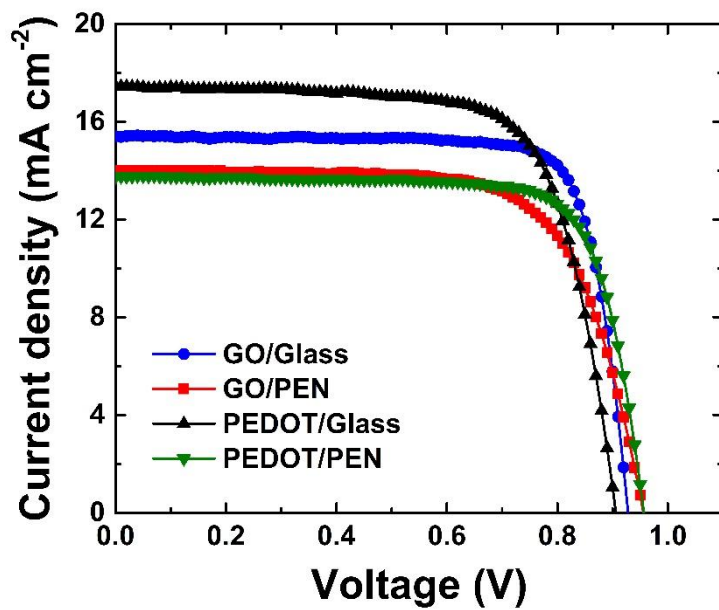
## 5.4 Transparent and Flexible Perovskite Solar Cell with GO HTL

Figure 5.10 shows the  $J-V$  curves of the transparent perovskite solar cells with various HTLs (GO vs. PEDOT:PSS) and substrates (PEN vs. glass), and the solar cell parameters are summarized in Table 5.3 (light illumination from the substrate side). Transparent devices have been prepared by replacing the conventional Ag electrode with a sputtered ITO electrode (Figure 5.11). It should be noted that the  $J_{sc}$  of transparent devices is not as good as the non-transparent counterparts probably due to the absence of the light reflection by the Ag top electrode. The flexible devices with the ITO-coated plastic (polyethylene naphthalate; PEN) substrates exhibit lower  $J_{sc}$  regardless of the HTL materials owing to the low transmittance (Figure 5.12). From the transmittance spectra of the ITO-coated PEN (red squares) and glass (blue circles) substrates, the theoretical maximum  $J_{sc}$  that can be obtained from the substrate has been calculated by assuming 100% internal quantum efficiency (IQE). The maximum  $J_{sc}$  values that can be obtained with the ITO-coated PEN and glass substrates are 20.57 and 22.23  $\text{mA cm}^{-2}$ , respectively. The amounts of  $J_{sc}$  reduction for the GO and PEDOT:PSS HTLs are 9% (from 15.41 to 14.01  $\text{mA cm}^{-2}$ ) and 21% (from 17.46 to 13.74  $\text{mA cm}^{-2}$ ), respectively, which is qualitatively consistent with the theoretical calculation (7.5%). In addition, the sheet resistance of the commercial ITO/PEN substrate (13.9 ohms/ $\square$ ) is higher than the ITO/glass substrate (6.6 ohms/ $\square$ ), leading to the higher  $R_s$  of the PEN-based devices. One of the biggest advantages of having transparent solar cells is that they can be illuminated through both sides (*i.e.* front-side illumination through the top electrode and back-side

illumination through the substrate), which is crucial for some promising applications like the bi-facial solar cells. Figure 5.13 displays the effects of the illumination direction on the PV performance of the transparent perovskite solar cells with the GO/ITO/glass substrates. The  $V_{oc}$  (0.95 V) and fill factor (0.75, 0.76) are not influenced by the direction of the light illumination, but the  $J_{sc}$  under the front-side illumination through the top electrode ( $17.91 \text{ mA cm}^{-2}$ ) is higher than the back-side counterpart ( $16.68 \text{ mA cm}^{-2}$ ) by approximately 7.4%. As a result, the transparent perovskite solar cell exhibits a higher conversion efficiency of 12.48% with the front-side illumination compared with the back-side illumination (11.45%). The  $V_{oc}$  and fill factor from the same device are likely to be constant regardless of the illumination direction. Different profiles of light absorption and the resulting photoelectron density may influence the charge-collection properties, but it is not likely to happen in this study due to the thin thickness of the perovskite layer. On the other hand, the  $J_{sc}$  must depend on the direction of the light illumination, because the light-harvesting properties are directly affected by the light absorption by the perovskite layer. Under the back-side illumination, the incident photons should pass through three layers (*i.e.* glass substrate, ITO layer, and HTL) before reaching the perovskite layer, but they need to pass through only two layers (*i.e.* sputtered ITO and ETL) under the front-side illumination. Therefore, there are better chances of photon losses by the parasitic absorption during the back-side illumination. In addition, the reflection losses might be larger during the back-side illumination due to the smoother and highly reflective surface of the glass substrate.

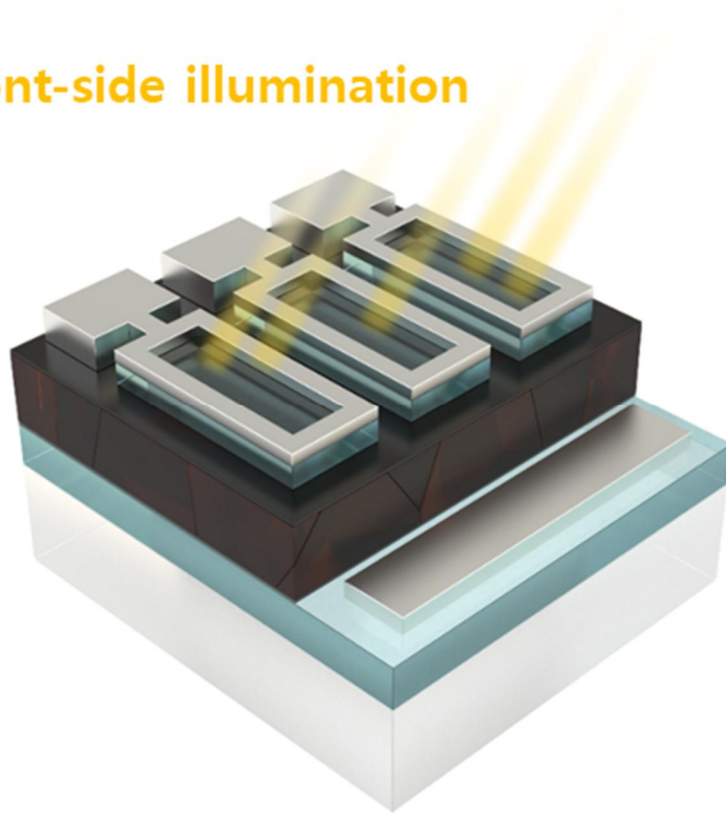
**Table 5.3** Photovoltaic parameters of transparent perovskite solar cells with various HTLs and substrates.

	$J_{sc}$ (mA cm <sup>-2</sup> )	$V_{oc}$ (V)	Efficiency (%)	FF
GO/Glass	15.41	0.92	11.39	0.80
GO/PEN	14.01	0.95	9.34	0.70
PEDOT/Glass	17.46	0.90	11.35	0.72
PEDOT/PEN	13.74	0.95	10.11	0.77

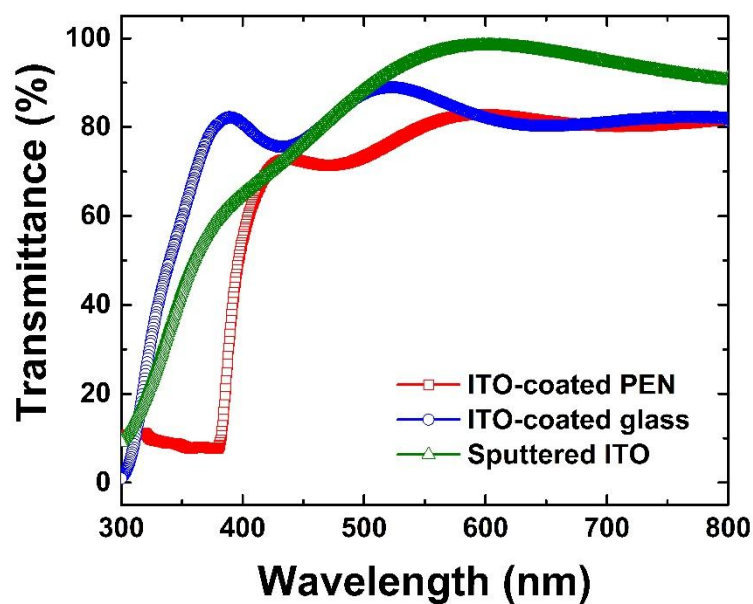


**Figure 5.10** Optimal  $J$ - $V$  curves of perovskite solar cells with various concentrations of GO solutions.

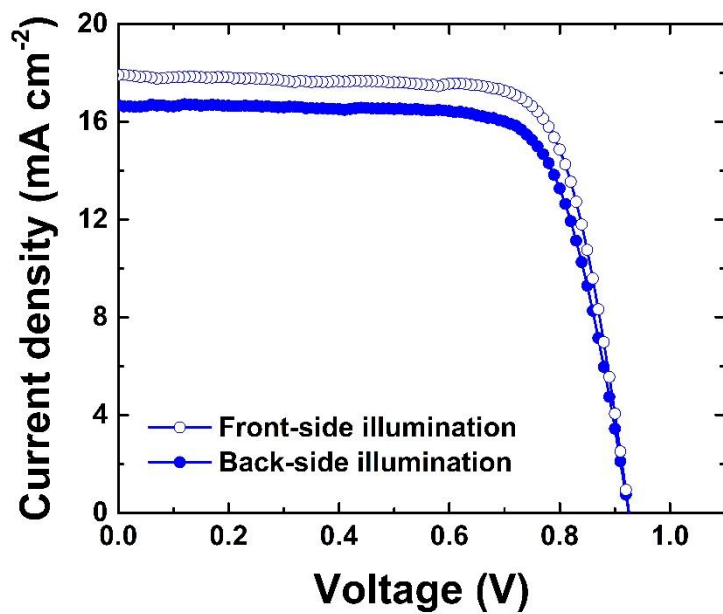
## Front-side illumination



**Figure 5.11** A schematic structure of a typical transparent perovskite solar cell.



**Figure 5.12** Transmittance spectra of sputtered ITO layers prepared on various substrates.



**Figure 5.13**  $J$ - $V$  curves of transparent perovskite solar cells with GO HTLs with different directions of light illumination.



## 5.5 Bi-functional GO Layer

Figure 5.14 compares the long-term stability of the perovskite solar cells with different substrates and HTLs (*i.e.* PEN vs. glass substrates and GO vs. PEDOT:PSS HTLs). For the long-term stability test, the devices have been stored in ambient atmosphere without the encapsulation layer, and the  $J-V$  curves have been measured approximately in every 24 hours under the back-side illumination through the substrate. The devices with the PEDOT:PSS HTL and PEN substrate (blue hollow circles) degrade very quickly mostly due to the decrease in  $J_{sc}$ . Devices with the glass substrates (blue solid circles) are relatively stable than those with the PEN substrates, but PEDOT-PSS-based devices are very unstable regardless of the substrates, showing a lifetime no more than 2 days. Instability of the perovskite solar cells with the PEDOT:PSS HTL can be attributed to the acidic and hygroscopic nature of the PEDOT:PSS, and the instability issue is more significant with the PEN substrate due to the higher water/oxygen permeability than the glass substrate.[5.51] On the other hand, the perovskite solar cells with the GO HTL exhibit much improved long-term stability, maintaining more than 90% of their initial conversion efficiencies for 120 h. More importantly, the GO-based devices with the PEN substrate exhibit comparable long-term stability to those with the glass substrate, indicating that the excellent barrier properties of the GO HTL effectively compensate the higher permeability of the PEN substrate. Figure 5.15 shows the water/oxygen permeability (WVTR/OTR) of the GO-coated ITO/PEN substrates as a function of the GO solution concentration (*i.e.* GO layer thickness). Both of the WVTR

and OTR monotonically decrease with the increasing thickness, indicating that the barrier properties are improved. It should be noted that the measured WVTR of the bare ITO/PEN substrate ( $0.37 \text{ g m}^{-2} \text{ day}^{-1}$ ) is significantly lower than the known values of the PEN ( $7.3 \text{ g m}^{-2} \text{ day}^{-1}$ )[5.51], suggesting that the inorganic ITO layer already functions as a primary barrier layer. The WVTR of the ITO/PEN substrate decreases to  $0.31 \text{ g m}^{-2} \text{ day}^{-1}$  with the GO HTL ( $0.5 \text{ mg mL}^{-1}$ ; same condition used in this study), and further decreases to  $0.10 \text{ g m}^{-2} \text{ day}^{-1}$  with the thicker GO layer ( $2.0 \text{ mg mL}^{-1}$ ). The OTR values also show the same dependency on the GO layer thickness. Considering that the water is one of the major causes for the performance degradation of the perovskite solar cells, the enhanced long-term stability even with the flexible PEN substrate can be ascribed to the barrier properties of the GO HTL. Therefore, it can be concluded that the highly transparent GO layer with the bi-functionality of hole extraction and water/gas barrier is a promising candidate for the HTL of the efficient and stable transparent perovskite solar cells.

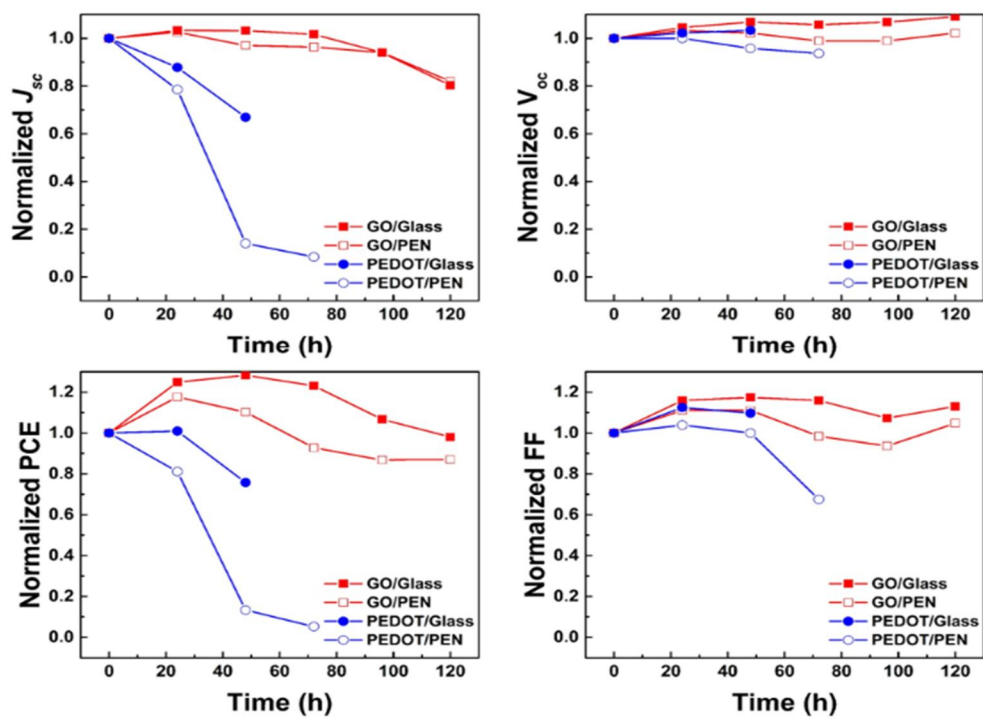
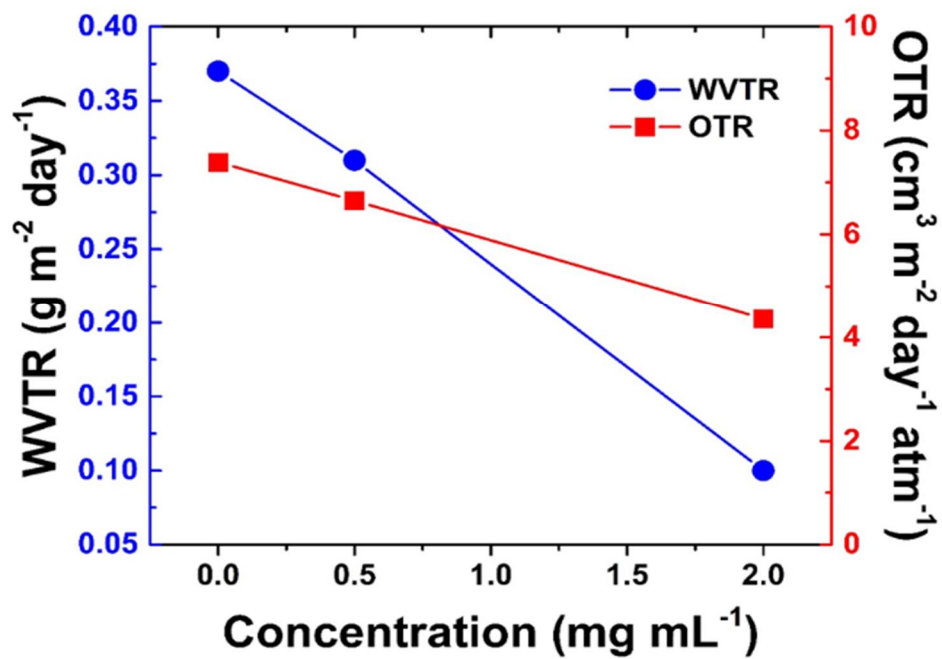


Figure 5.14 Long-term stability of transparent perovskite solar cells.



**Figure 5.15** WVTR and OTR of a GO-coated PEN/ITO substrate.

## 5.6 Conclusion

Figure 5.14 compares the long-term stability of the perovskite solar cells with different substrates and HTLs (*i.e.* PEN *vs.* glass substrates and GO *vs.* PEDOT:PSS HTLs). For the long-term stability test, the devices have been stored in ambient atmosphere without the encapsulation layer, and the  $J-V$  curves have been measured approximately in every 24 hours under the back-side illumination through the substrate. The devices with the PEDOT:PSS HTL and PEN substrate (blue hollow circles) degrade very quickly mostly due to the decrease in  $J_{sc}$ . Devices with the glass substrates (blue solid circles) are relatively stable than those with the PEN substrates, but PEDOT-PSS-based devices are very unstable regardless of the substrates, showing a lifetime no more than 2 days. Instability of the perovskite solar cells with the PEDOT:PSS HTL can be attributed to the acidic and hygroscopic nature of the PEDOT:PSS, and the instability issue is more significant with the PEN substrate due to the higher water/oxygen permeability than the glass substrate.[5.51] On the other hand, the perovskite solar cells with the GO HTL exhibit much improved long-term stability, maintaining more than 90% of their initial conversion efficiencies for 120 h. More importantly, the GO-based devices with the PEN substrate exhibit comparable long-term stability to those with the glass substrate, indicating that the excellent barrier properties of the GO HTL effectively compensate the higher permeability of the PEN substrate. Figure 5.15 shows the water/oxygen permeability (WVTR/OTR) of the GO-coated ITO/PEN substrates as a

function of the GO solution concentration (*i.e.* GO layer thickness). Both of the WVTR and OTR monotonically decrease with the increasing thickness, indicating that the barrier properties are improved. It should be noted that the measured WVTR of the bare ITO/PEN substrate ( $0.37 \text{ g m}^{-2} \text{ day}^{-1}$ ) is significantly lower than the known values of the PEN ( $7.3 \text{ g m}^{-2} \text{ day}^{-1}$ )[5.51], suggesting that the inorganic ITO layer already functions as a primary barrier layer. The WVTR of the ITO/PEN substrate decreases to  $0.31 \text{ g m}^{-2} \text{ day}^{-1}$  with the GO HTL ( $0.5 \text{ mg mL}^{-1}$ ; same condition used in this study), and further decreases to  $0.10 \text{ g m}^{-2} \text{ day}^{-1}$  with the thicker GO layer ( $2.0 \text{ mg mL}^{-1}$ ). The OTR values also show the same dependency on the GO layer thickness. Considering that the water is one of the major causes for the performance degradation of the perovskite solar cells, the enhanced long-term stability even with the flexible PEN substrate can be ascribed to the barrier properties of the GO HTL. Therefore, it can be concluded that the highly transparent GO layer with the bi-functionality of hole extraction and water/gas barrier is a promising candidate for the HTL of the efficient and stable transparent perovskite solar cells.

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# **Chapter 6. Spiro-OMeTAD/ $\text{WO}_3$ Hole Transporting and Buffer Layer for Perovskite Solar Cells with Transparent Electrodes**

## **6.1 Introduction**

Many researches are being actively conducted on alternative energy to replace fossil fuels. Solar energy is attracting much attention because it is an infinite energy source and does not cause environmental pollution. In particular, researches on organic-inorganic hybrid perovskite solar cells have increased at a tremendous rate over the last decade. Various properties, such as tunable bandgap energy, high absorption coefficient and long diffusion length, enhance the performances of the devices using perovskite materials. In order to rapidly commercialize perovskite solar cells, research on both the device structure and various layers constituting the solar cell must be conducted along with perovskite, which is a light absorbing material. In order to extend its application into either tandem device with other solar cell or BIPVs or solar panels installed in a field,[6.1] a highly transparent and conductive electrode is required. A sputtered transparent conducting oxide (TCO) is an effective front contact for semi-transparent perovskite solar cells and many TCO materials such as indium tin oxide (ITO), indium zinc oxide (IZO), and aluminum doped zinc oxide (AZO) have been used as transparent electrode. However, these TCO materials are deposited by sputtering process with high energy and requires additional buffer layer to protect the underlying solar cell against

sputtering damage. A hole-selective layer (e.g.  $\text{MoO}_x$ ,  $\text{WO}_3$ ,  $\text{V}_2\text{O}_3$ )[6.2-6.7] or an electron-transporting layer (e.g.  $\text{ZnO}$ ,  $\text{SnO}_2$ )[6.8] has been used to effectively extract charges and to alleviate the sputtering damage to the underlying perovskite materials. The majority of studies on these buffer layers have used high cost vacuum-based vacuum processes, such as thermal evaporation,[6.9, 6.10] RF-sputtering,[6.11] and atomic layer deposition (ALD),[6.5] which is incompatible with low cost solution-processed perovskite solar cells. Compared to vacuum process, solution process has benefits such as accessible experimental environment, simple process, and cost advantages. Among the aforementioned metal oxides,  $\text{WO}_3$  is an extraordinary sputtering buffer layer for use in perovskite solar cells due to its suitable optical and electrical properties, appropriate work function to perovskite, air stability. Therefore, it is important to research the  $\text{WO}_3$  buffer layer fabricated by solution process and demonstrate perovskite solar cell with transparent electrode on the both sides. Even so far, solution processed  $\text{WO}_3$  film has not been reported as buffer layer for sputtered ITO electrode of n-i-p perovskite solar cells.[6.12-6.14]

Herein, we demonstrate that the  $\text{WO}_3$  film fabricated by solution process can protect the underlying materials against the sputtering process damage. The  $\text{WO}_3$  film with proper thickness and baking temperature exhibits high transparency and appropriate hole transporting properties. Thus, the spiro-OMeTAD/ $\text{WO}_3$  hole transporting double layer allow perovskite solar cells to have transparent electrode on the both sides. In addition, the morphology of the  $\text{WO}_3$  films can affect the underlying layers and the perovskite performances, thereby resulting in improved diode parameters.

## 6.2 Properties of WO<sub>3</sub> Film

Figure 6.1(a) shows the transmittance spectra of the WO<sub>3</sub> films on the quartz substrates as a function of thickness with 32, 41, and 54 nm, where the thicknesses were changed by changing the spin-coating speed (Figure 6.2). The WO<sub>3</sub> films are highly transparent in the visible and near-infrared (Vis-NIR) range. The WO<sub>3</sub> film with 32 nm exhibits high transmittance (> 90%) even in 350-400 nm range, and also the WO<sub>3</sub> films with more than 41nm have transmittance enough to pass the light used by perovskite showing better response in the range above 400 nm. The structure of the WO<sub>3</sub> films was investigated by X-ray diffraction (XRD) analysis (Figure 6.1(b)).[6.15] The broad diffraction peak at 15-30 ° indicates that WO<sub>3</sub> films have amorphous structure regardless of the thickness due to the same baking temperature of 120 °C and are less rigid than crystalline WO<sub>3</sub> with annealing process of high temperature above 330 °C. In order to demonstrate that the WO<sub>3</sub> film functions as hole transporting layer with spiro-OMeTAD, first the perovskite solar cells were fabricated by introducing WO<sub>3</sub> with various temperatures and thicknesses between the spiro-OMeTAD and Au electrode. Figure 6.3(a) shows current density-voltage ( $J-V$ ) curves of perovskite solar cells as a function of baking temperature of WO<sub>3</sub> films and the solar cell parameters are summarized in Table 6.1. The open-circuit voltage ( $V_{oc}$ ) and the short-circuit current density ( $J_{sc}$ ) are barely influenced by the baking temperature except for the reduction of the WO<sub>3</sub> film baked at 140 °C. The methyl ammonium lead iodide (MAPbI<sub>3</sub>) perovskite have thermal instability at 120 °C above and the MAPbI<sub>3</sub> perovskite film is decomposed at 140 °C resulting in

increased shunt resistance ( $R_{sh}$ ) as observed from the increased slope of  $J-V$  curve near  $J_{sc}$ . [6.16] Fill factor (FF) increases with the increasing baking temperature, which is a consequence of the reduced series resistance ( $R_s$ ) as observed from the stiffer slope of  $J-V$  curves near  $V_{oc}$ . Considering that the boiling point of isopropyl alcohol (IPA) used as solvent is 83 °C and the baking time is just 5 min, this reduced  $R_s$  can be ascribed to perfect remove of IPA solvent. Figure 6.3(b) and Table 6.2 show the photovoltaic performances of perovskite solar cells as a function of thickness of  $WO_3$  films. The perovskite solar cell was fabricated at the 120 °C, which was identified as the optimum temperature. The  $WO_3$  film was used as a buffer layer for damage by the sputtering process, so the thickness was adjusted from thick to thin. The thickness of  $WO_3$  film was made thinner by increasing the speed of spin coating. It can be seen that when the thickness of the  $WO_3$  film are 95 nm and 85 nm, the  $J-V$  curves have a low slope near  $V_{oc}$ , and thus has a high series resistance. It was shown that the slope of  $J-V$  curves increase and the series resistance decrease when the thickness of the  $WO_3$  film is 85 nm or less. The difference in  $R_s$  is not large up to about 73 nm, but increases from 46 ohms at 85 nm to 90 ohms at 95 nm. This is because charge transport is not good due to the increased thickness of the  $WO_3$  film. [6.17, 6.18] Thus, it can be seen that  $R_{sh}$  decreases due to the recombination of electrons and holes. Therefore, it can be concluded that as the thickness of the  $WO_3$  film decreases, the series resistance of the solar cell decreases. In addition,  $J_{sc}$  is low due to low transmittance of the  $WO_3$  film with thickness of 61 nm above as observed from Figure 6.1(a). As a result, the  $WO_3$  film was used by spin-coating with thickness of 54 nm or less and baking at 120 °C in the fabrication of



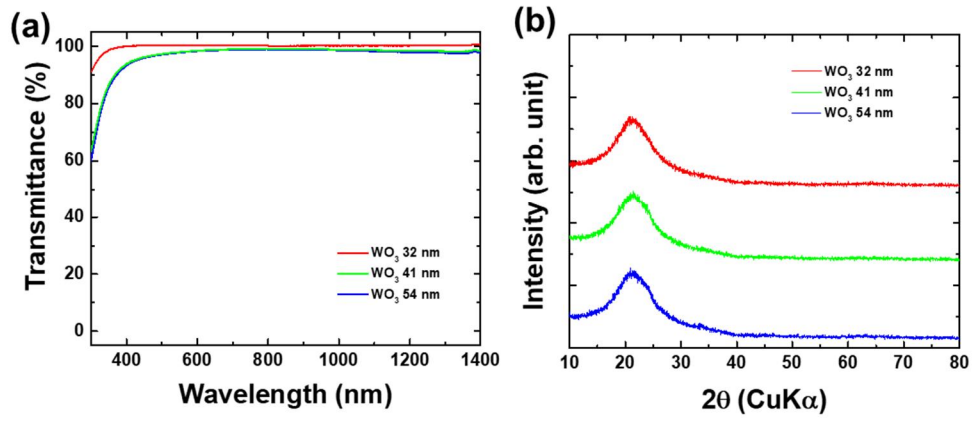
perovskite solar cells having transparent electrodes on both sides.

**Table 6.1** Photovoltaic parameters of the perovskite solar cells as a function of the baking temperature of WO<sub>3</sub> films

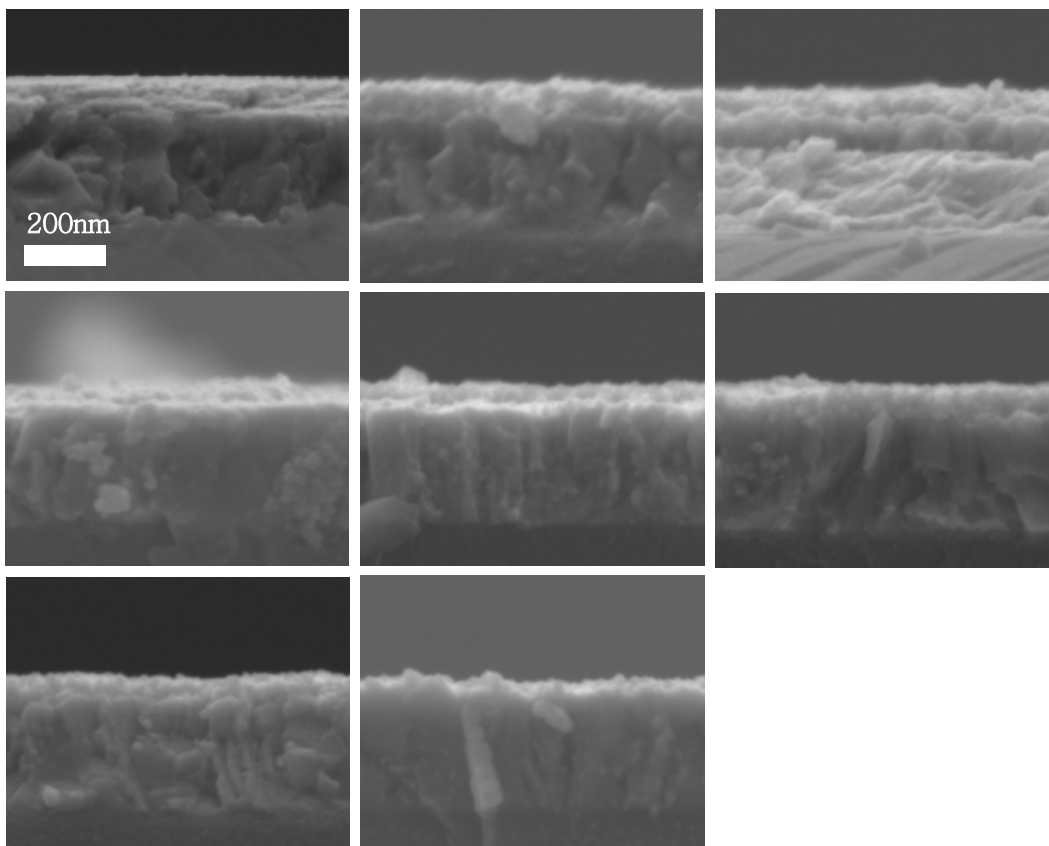
Temp. (°C)	$J_{sc}$ (mA/cm <sup>2</sup> )	$V_{oc}$ (V)	Efficiency (%)	FF	Rs ( $\Omega$ cm <sup>2</sup> )
80	19.29	0.95	10.43	0.57	78
100	19.58	0.96	12.17	0.65	54
120	19.48	0.98	13.04	0.68	39
140	18.49	0.87	10.11	0.63	35

**Table 6.2** Photovoltaic parameters of the perovskite solar cells as a function of the thickness of WO<sub>3</sub> films

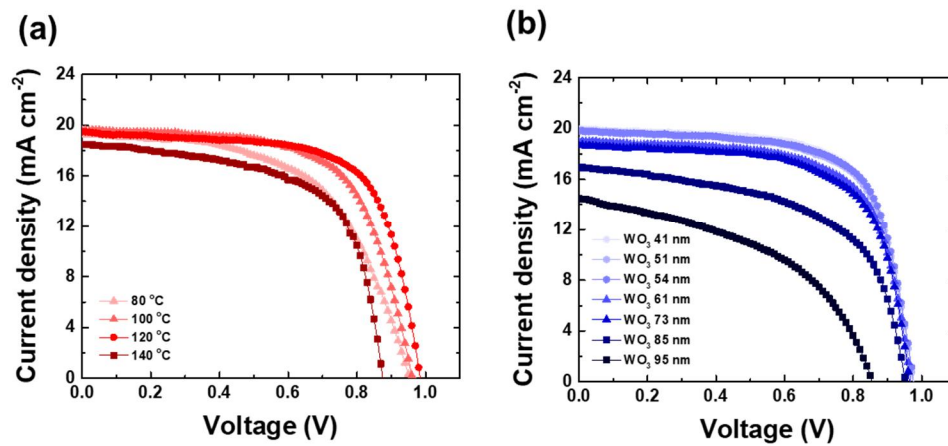
Thickness	$J_{sc}$ (mA/cm <sup>2</sup> )	$V_{oc}$ (V)	Efficiency (%)	FF	$R_s$ ( $\Omega$ cm <sup>2</sup> ) ( $\Omega$ cm <sup>2</sup> )
41 nm	19.96	0.97	13.37	0.69	38
51 nm	19.92	0.97	13.18	0.68	36
54 nm	19.79	0.97	13.32	0.69	34
61 nm	18.99	0.96	12.35	0.68	36
73 nm	18.68	0.96	11.96	0.67	34
85 nm	16.93	0.95	9.16	0.57	46
95 nm	14.51	0.85	5.75	0.47	90



**Figure 6.1** Material properties of  $\text{WO}_3$  as HTL



**Figure 6.2** Cross sectional FE-SEM images of WO<sub>3</sub> films as a function of the thickness



**Figure 6.3**  $J$ - $V$  curves of perovskite solar cells with  $\text{WO}_3$  film as a function of fabricating condition.

### 6.3 Perovskite Solar Cell with transparent electrodes

Figure 6.4(a) and Table 6.3 present the photovoltaic performances of the perovskite solar cells with or without sputtered ITO electrode. The perovskite solar cell without sputtered ITO electrode was fabricated with Au electrode covering the whole active area and the perovskite solar cell shows high photo conversion efficiency (PCE) of 17.59 % with  $J_{sc}$  of  $23.46 \text{ mA cm}^{-2}$ ,  $V_{oc}$  of 1.03 V, and FF of 0.73. In contrast, the perovskite solar cell with sputtered ITO electrode exhibits deteriorated PCE with  $J_{sc}$  of  $18.36 \text{ mA cm}^{-2}$  and  $V_{oc}$  of 0.99 V. In particular, the reduction in FF is noticeable, which can be assumed to be the result of damage of spiro-OMeTAD by the ITO sputtering process. In order to confirm this prediction, an ITO electrode was deposited after spin-coating a  $\text{WO}_3$  film on the spiro-OMeTAD layer. Figure 6.4(b) and Table 6.3 present the photovoltaic performances of the perovskite solar cells with sputtered ITO electrode as a function of the thickness of  $\text{WO}_3$  films. The perovskite solar cell with Au electrode covering the active area exhibits higher  $J_{sc}$  owing to reflecting properties of the Au electrode compared to the solar cells with sputtered ITO electrode.<sup>9</sup> The perovskite solar cells with spiro-OMeTAD/ $\text{WO}_3$  double hole transporting layer exhibit decreased  $V_{oc}$  compared to the perovskite solar cell with only spiro-OMeTAD, which is ascribed to changed quasi-fermi level due to insertion of  $\text{WO}_3$  film and that the  $V_{oc}$  of solar cell can be derived from each quasi-fermi levels.[6.19] Figure 6.5(a) shows the photoelectron emission spectra of  $\text{MAPbI}_3$ , spiro-OMeTAD, spiro-OMeTAD/ $\text{WO}_3$ , and sputtered ITO film on the glass substrates. The work functions (WF) are 5.42 eV and 5.35 eV for spiro-

OMeTAD and spiro-OMeTAD/WO<sub>3</sub>, respectively (Figure 6.5(b)). Therefore, increase in WF can influence the change of quasi-fermi level, resulting in reduction of  $V_{oc}$  of the perovskite solar cell with a spiro-OMeTAD/WO<sub>3</sub> double hole transporting layer. In particular, the perovskite solar cells with WO<sub>3</sub> films less than 54 nm thick exhibit FF reduced as in the absence of the WO<sub>3</sub> film. Therefore, the diode analysis was conducted to elucidate the reduction of FF observed in the perovskite solar cell with either thin WO<sub>3</sub> films or absence of the WO<sub>3</sub> film.

Figure 6.6(a-c) show the various fitting processes based on the dark J-V curves observed in Figure 6.4(a-b) and the following diode equation.[6.20-6.22]

$$j_{ill} = j_0 \left[ \exp \left\{ \frac{e(V - jR_s A)}{nkT} \right\} - 1 \right] + \frac{G_{sh}}{A} V - j_{ph}(V) \quad (\text{Equation 1})$$

The parallel shunt resistance components and series resistance components should be considered to analyze the diode characteristics of the actual solar cell. The shunt conductance ( $G_{sh}$ ) and series resistance ( $R_s$ ) of the solar cells under dark condition can be obtained from the linear fitting of  $dj/dV$  and  $dV/dj$  values, respectively. The reverse saturation current density ( $j_0$ ) can be obtained from the linear fitting curves calculated from a variation of Equation 1 shown as Figure 6.6(c). All perovskite solar cells show the high  $R_s$  except for the perovskite solar cell with only spiro-OMeTAD hole extraction layer without sputtered ITO (*i.e.* spiro (w/o ITO) device) and the perovskite solar cell with spiro-OMeTAD/WO<sub>3</sub> film of 54 nm thickness (*i.e.* spiro/WO<sub>3</sub> 54 nm device). The spiro (w/o ITO) device and the spiro/WO<sub>3</sub> 54 nm device exhibit the similar value of  $G_{sh}$  and  $J_0$  shown as the table 6.4. The  $G_{sh}$  and  $J_0$  increase with decreasing thickness of WO<sub>3</sub> films and then the  $G_{sh}$  and  $J_0$  display the highest value in the solar cell having sputtered



ITO electrode without WO<sub>3</sub> (*i.e.* spiro). In addition, the S shaped  $J-V$  curves shown as Figure 6.4 (a-b) indicate that the perovskite solar cells with thin WO<sub>3</sub> film or without WO<sub>3</sub> film have additional diode caused by the sputtering damage of spiro-OMeTAD.[6.23] Therefore, it can be concluded that the WO<sub>3</sub> film with a thickness of 54 nm or more protects underneath layers during the sputtering process. In order to confirm the protection for spiro-OMeTAD, the electrochemical impedance spectroscopy (EIS) of the devices was conducted in the open-circuit condition of the  $J-V$  measurement with the light illumination of the white LED. The recombination kinetics of the solar cells can be analyzed by the imaginary part of the impedance plotted as a function of frequency (Figure 6.7) and the carrier lifetime can be calculated from high-frequency semicircles of the impedance spectrum and the following equation(2):

$$\tau = \frac{1}{\omega_c} = \frac{1}{2\pi f_c} \quad (\text{Equation 2})$$

where  $\tau$  is the lifetime,  $\omega_c$  is the characteristic radial frequency, and  $f_c$  is the characteristic frequency. The carrier lifetime increases with the increasing thickness of WO<sub>3</sub> film, which is a consequence of the tendency for  $J_0$  by diode analysis and ascribed to the protection for spiro-OMeTAD against sputtering damage.

The field emission-scanning electron microscopes (FE-SEM) analysis was performed for further investigating the effects of WO<sub>3</sub> film thickness on the photovoltaic response of the perovskite solar cells with sputtered ITO electrode. Figure 8(a-c) show the surface image of WO<sub>3</sub> films as a function of the thickness. It should be noted that an uncovered area was difficult to observe in cross-sectional image (inserted), but can easily be found in surface images. The uncovered area was calculated by image J program and

plotted as a function of the  $\text{WO}_3$  film thickness shown as Figure 6.8(d). The uncovered area decreases with the increasing thickness of  $\text{WO}_3$  film, which is consistent with the reduced  $G_{\text{sh}}$  as summarized in Table 6.4. As a result, the thinner thickness of  $\text{WO}_3$  films, the less coverage is achieved, so spiro-OMeTAD suffers from ITO sputtering damage, which reduces the performances of the perovskite solar cells with sputtered ITO electrode.

Figure 6.9 shows the  $J-V$  curves of the perovskite solar cell with a sputtered ITO electrode as a function of the illumination direction and the solar cell parameters are summarized in Table 6.5. The  $J_{\text{sc}}$  are  $19.71 \text{ mA cm}^{-2}$  and  $17.29 \text{ mA cm}^{-2}$  under the glass side and sputtered ITO side illumination, respectively. The external quantum efficiency (EQE) was measured over a range from 300 nm to 850 nm for investigating the difference in photo-response of the perovskite solar cells at each wavelength (Figure 6.10). The EQE shows a sharp decrease under wavelength of 400 nm or less and characteristic bends depending on the wavelength. Considering that the  $\text{WO}_3$  films exhibit high transparency shown as Figure 6.1(a) and ITO has generally used as transparent conductive electrode in perovskite solar cells, remaining issue is spiro-OMeTAD. Figure 6.11 shows the transmittance spectra of sputtered ITO glass substrates with  $\text{WO}_3$  film depending on the presence of spiro-OMeTAD and the transmittance spectra of the substrate with spiro-OMeTAD displays the same shape as EQE. As a result, this difference in the  $J_{\text{sc}}$  can be ascribed to the parasitic absorption of spiro-OMeTAD. Figure 6.12 shows the transmittance spectra of the perovskite solar cell comprising a the spiro-OMeTAD/ $\text{WO}_3$  hole transporting double layer and a sputtered ITO electrode. The transmittance spectrum is remarkably increased in the range above the wavelength

absorbed by the perovskite, and shows a high transmittance of 90% or more, especially at a wavelength of 800-900 nm. Therefore, the perovskite device with sputtered ITO electrode have potential to be used in a variety of applications such as building-integrated photovoltaics (BIPVs), bi-facial solar modules, and tandem solar cells with high efficiency

**Table 6.3** Photovoltaic parameters of perovskite solar cell with spiro-OMeTAD/ $\text{WO}_3$  HTL double layers.

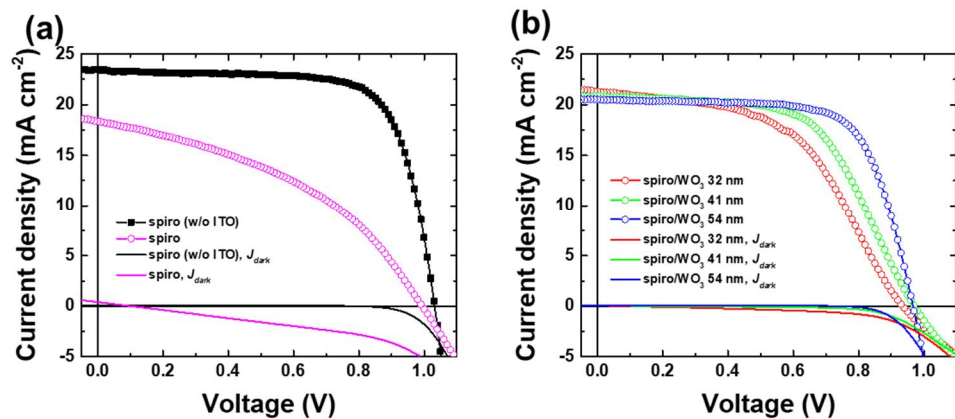
	$J_{\text{sc}}$ ( $\text{mA}/\text{cm}^2$ )	$V_{\text{oc}}$ (V)	Efficiency (%)	FF
spiro (w/o ITO)	23.46	1.03	17.59	0.73
spiro	18.36	0.99	7.49	0.41
spiro $\text{WO}_3$ 32 nm	21.30	0.93	10.24	0.52
spiro $\text{WO}_3$ 41 nm	20.88	0.97	11.87	0.59
spiro $\text{WO}_3$ 54 nm	19.71	0.94	12.85	0.69

**Table 6.4** Electrical properties of the perovskite solar cell with spiro-OMeTAD/WO<sub>3</sub> HTL double layers and transparent electrodes.

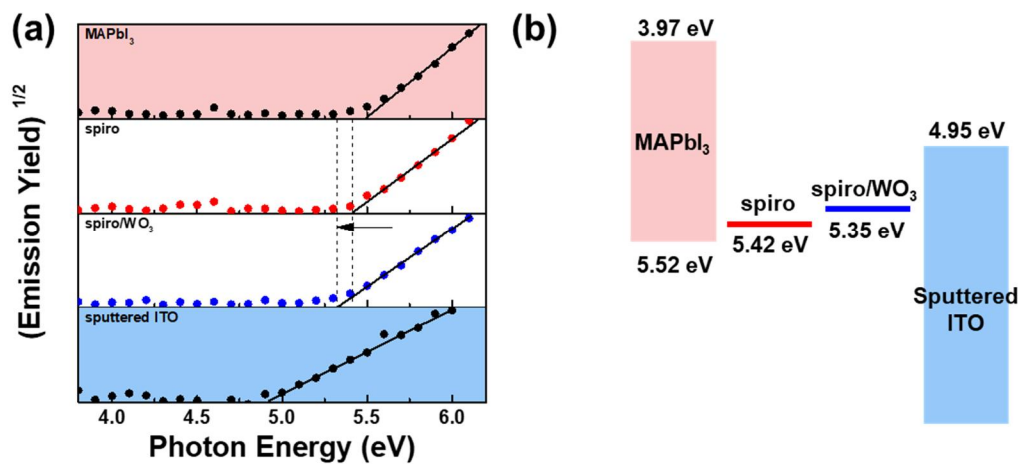
condition	$G_{sh}$ (mS/cm <sup>2</sup> )	$R_s$ ( $\Omega$ cm <sup>2</sup> )	A	$J_0$ (mA/cm <sup>2</sup> )
spiro (w/o ITO)	0.00763	0.00437	0.255	$2.31245 \times 10^{-9}$
spiro	3.91684	0.02672	0.4797	$9.20163 \times 10^{-6}$
spiro/WO <sub>3</sub> 32 nm	0.58785	0.01987	0.4797	$1.4513 \times 10^{-6}$
spiro/WO <sub>3</sub> 41 nm	0.19643	0.03733	0.4797	$3.49821 \times 10^{-8}$
spiro/WO <sub>3</sub> 54 nm	0.009987	0.00791	0.4797	$1.38418 \times 10^{-9}$

**Table 6.5** Photovoltaic parameters of the perovskite solar cell depending on the illumination direction

sample	$J_{sc}$ (mA/cm <sup>2</sup> )	Calculated $J_{sc}$ (mA/cm <sup>2</sup> )	$V_{oc}$ (V)	Efficiency (%)	FF
glass side	19.71	18.64	0.94	12.85	0.69
ITO side	17.29	14.7	0.96	10.70	0.64

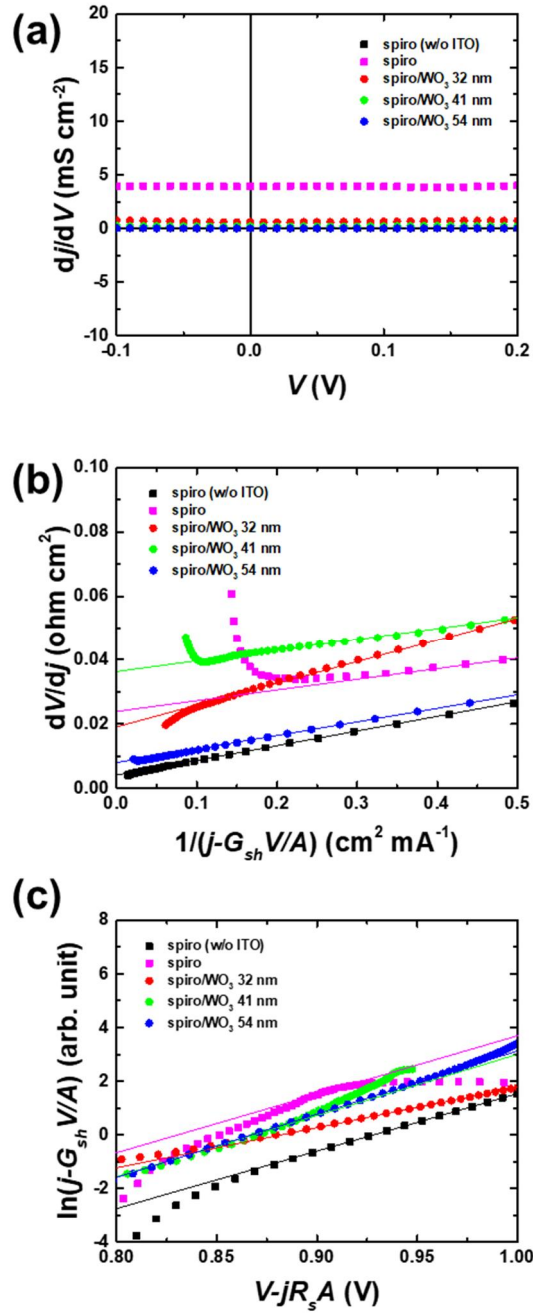


**Figure 6.4**  $J$ - $V$  curves of perovskite solar cells with spiro-OMeTAD/ $\text{WO}_3$  HTL double layer and transparent electrode in the both sides

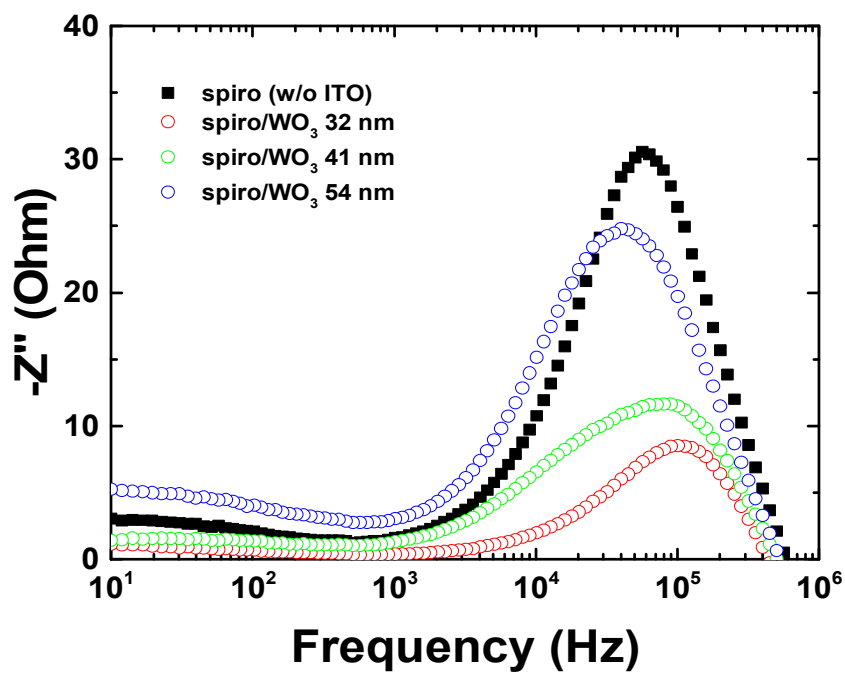


**Figure 6.5** The photoelectron emission spectra and energy diagram of HTL and perovskite materials

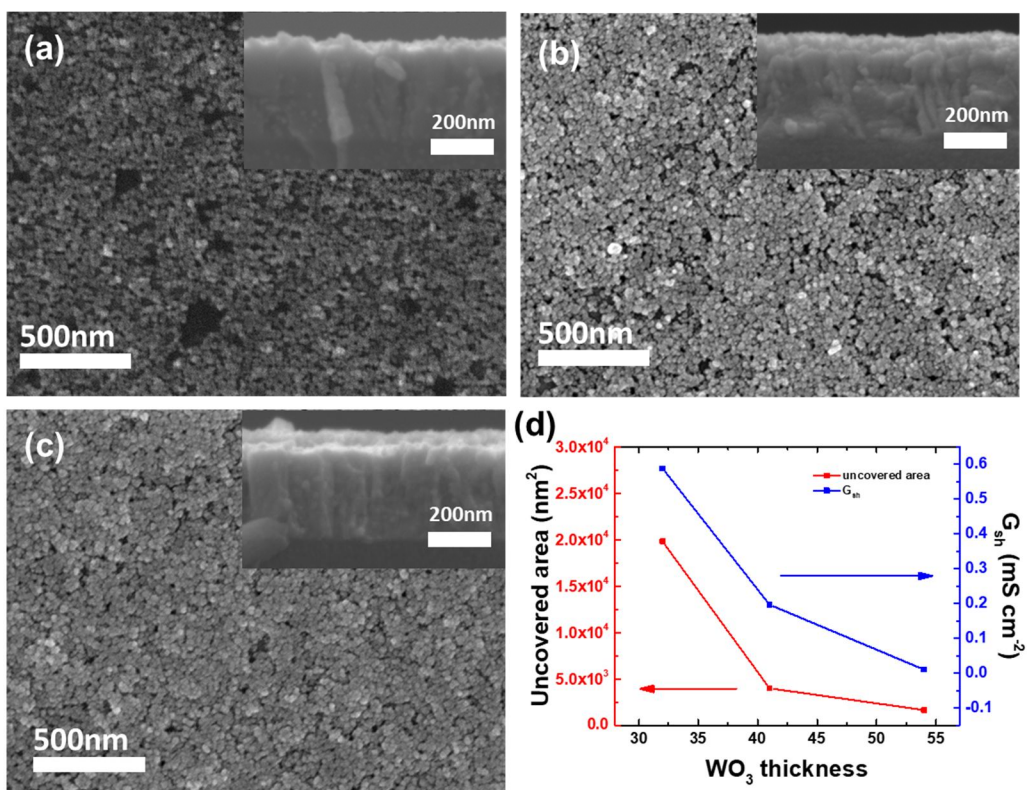




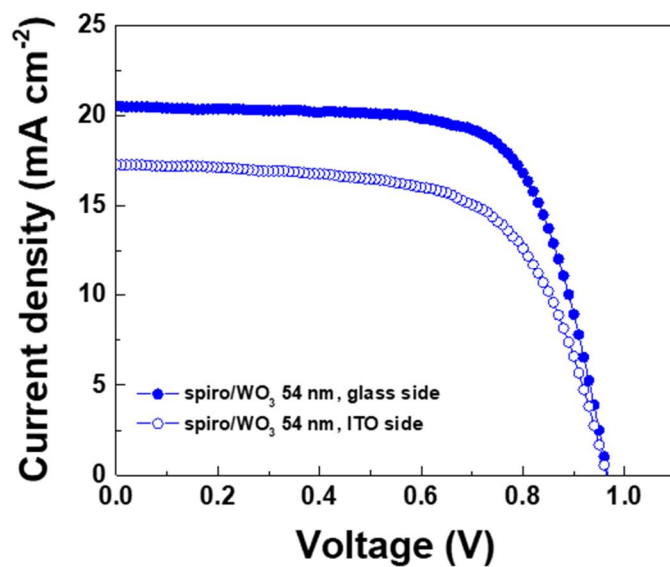
**Figure 6.6** Diode characteristics of a)  $G_{sh}$  (b)  $R_s$  and (c)  $J_0$  of the perovskite solar cells with Spiro-OMeTAD/WO<sub>3</sub> HTL double layer and transparent electrode on the both sides.



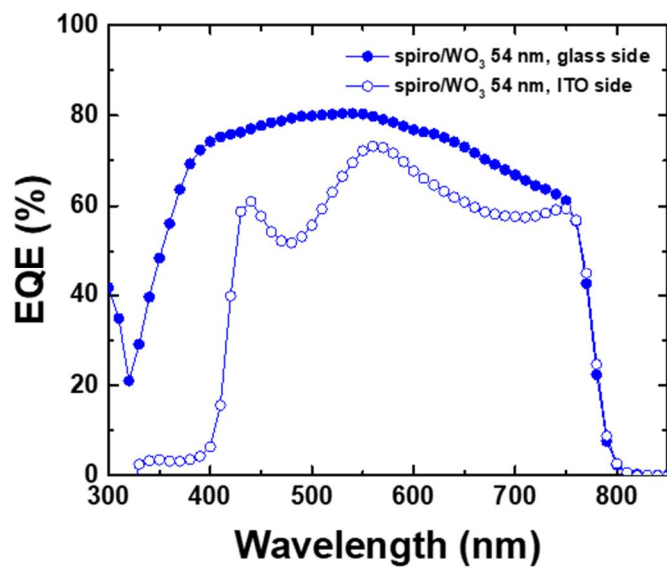
**Figure 6.7** Bode plot of perovskite solar cells with spiro-OMeTAD/ $\text{WO}_3$  HTL double layer and transparent electrode in the both sides



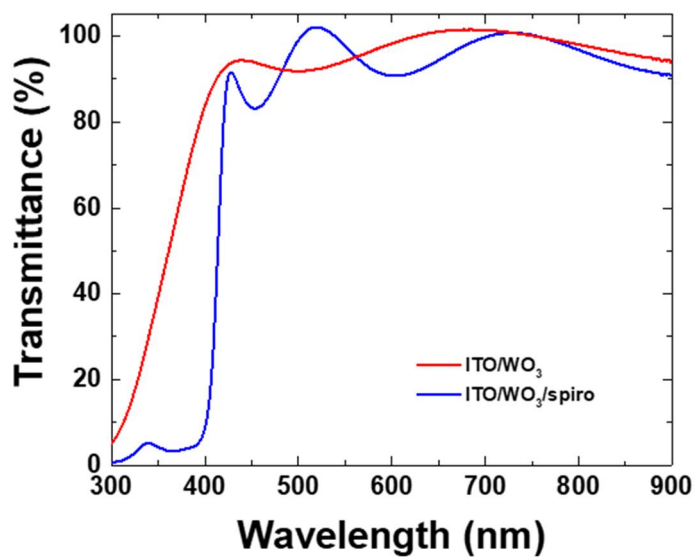
**Figure 6.8** The FE-SEM images of the  $\text{WO}_3$  films as a function of the thickness



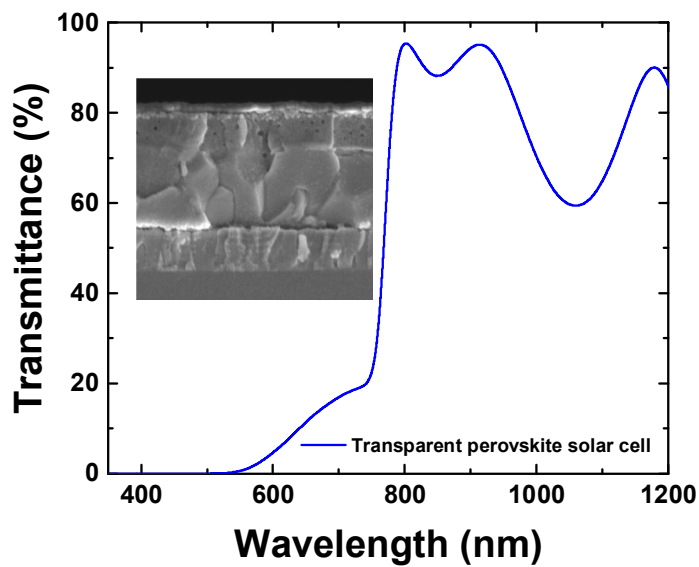
**Figure 6.9**  $J$ – $V$  curves of transparent perovskite solar cells with different directions of light illumination



**Figure 6.10** EQE curves of the perovskite solar cell with different directions of light illumination



**Figure 6.11** Transmittance spectra of glass/ITO/WO<sub>3</sub> layers with and without spiro-OMeTAD.



**Figure 6.12** Transmittance spectra of perovskite solar cell with transparent electrode in the both sides in the UV-Vis-NIR range.

## 6.4 Conclusion

In this study, I have successfully demonstrated that the  $\text{WO}_3$  film can be used to protect spiro-OMeTAD against ITO sputtering process for the perovskite solar cell with transparent electrode on both sides. In contrast to the perovskite solar cell with only spiro-OMeTAD suffered ITO sputtering damage, the perovskite solar cell with spiro-OMeTAD/ $\text{WO}_3$  hole transporting double layer exhibits photo-conversion efficiency of 12.85 %. The  $\text{WO}_3$  film with spiro-OMeTAD has played a role as appropriate for hole transporting layer and showed carrier lifetime comparable to only spiro-OMeTAD hole transporting layer. More importantly, it is not necessary to introduce another vacuum process to prevent damage caused by the sputtering process. In conclusion, the introduction of  $\text{WO}_3$  film characterized by the facile solution process and low processing temperature below 120 °C allows the perovskite solar cell to facilitate the application to various devices such as BIPVs, bi-facial solar modules, and tandem solar cells.



## 6.5 Bibliography

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## Chapter 7. Conclusion

In this thesis, several strategies for stable perovskite solar cells were proposed, which can inspire researchers to solve the current issues of perovskite solar cells for reaching the level of commercialization.

For stability of perovskite solar cells, p-i-n type planar perovskite solar cells incorporating the Li-doped  $\text{NiO}_x$  hole-extraction layer have been demonstrated, and the influence of the Li doping on the device performance has been investigated. The fill factor of the  $\text{NiO}_x$ -based perovskite solar cell can be significantly increased by doping Li to  $\text{NiO}_x$ , while the  $J_{sc}$  and  $V_{oc}$  are merely influenced. The increased fill factor has been ascribed to the increased electrical conductivity, evidenced by the conductive AFM measurement. It should be noted that the functioning  $\text{NiO}_x$  layer could be prepared at low temperature of 200 °C. As a result, the p-i-n type planar perovskite solar cell exhibits an improved conversion efficiency of 15.41% by incorporating the low-temperature Li-doped  $\text{NiO}_x$  hole extraction layer.

For the flexibility of perovskite solar cells, the GO layer was used for HTL. It has been found out that the GO layer features multiple advantages for the HTL, such as the high transmittance, efficient hole extraction, and good barrier properties. Due to the high transmittance of GO and its appropriate band alignment with the MALI perovskite, conversion efficiencies of 12.31%, 11.39% and 9.34% have been achieved for rigid/non-transparent (glass-substrate/Ag-top), rigid/transparent (glass-substrate/ITO-top), and flexible/transparent (PEN-substrate/ITO-top) perovskite solar cells, respectively. More

importantly, the GO HTL has been found to play a role as a barrier layer for compensating the relatively high water/oxygen permeability of the PEN substrate, resulting in the significantly improved long-term stability ( $> 120$  h) of the flexible/transparent perovskite solar cells. In conclusion, the bi-functional GO layer is a promising HTL for new applications of the perovskite solar cells such as the flexible/wearable solar cells, bi-facial solar cells and the tandem solar cells.

In order to ensure the transparency of the structure, transparent electrodes were applied to the solar cell of the n-i-p structure. The  $\text{WO}_3$  film can be used to protect spiro-OMeTAD against ITO sputtering process for the perovskite solar cell with transparent electrode on both sides. In contrast to the perovskite solar cell with only spiro-OMeTAD suffered ITO sputtering damage, the perovskite solar cell with spiro-OMeTAD/ $\text{WO}_3$  hole transporting double layer exhibits photo-conversion efficiency of 12.85 %. The  $\text{WO}_3$  film with spiro-OMeTAD has played a role as appropriate for hole transporting layer and showed carrier lifetime comparable to only spiro-OMeTAD hole transporting layer. More importantly, it is not necessary to introduce another vacuum process to prevent damage caused by the sputtering process. In conclusion, the introduction of  $\text{WO}_3$  film characterized by the facile solution process and low processing temperature below  $120^\circ\text{C}$  allows the perovskite solar cell to facilitate the application to various devices such as BIPVs, bi-facial solar modules, and tandem solar cells.

Based on this strategy, perovskite solar cells with stable material-based charge transporting layers have been fabricated, and low temperature solution processing enables application to flexible devices. In addition, the transparent electrodes at both the top and bottom ensure the transparency of the device, thereby improving the possibility

of developing into BIPVs, modules, and tandem solar cells.

## Abstract in Korean

### 국문 초록

유무기 하이브리드 페로브스카이트 물질은 높은 흡광 계수, 긴 캐리어 확산 거리, 낮은 엑시톤 결합 에너지 및 조정 가능한 밴드 갭과 같은 중요한 특성을 갖는다. 이러한 장점으로 인해, 유무기 하이브리드 페로브스카이트 물질은 태양 전지, 발광 다이오드 및 광 검출기와 같은 광전자 분야에서 상당한 관심을 끌었다. 특히, 유무기 하이브리드 페로브스카이트 물질을 광흡수층으로 사용하는 태양 전지는 약 10년의 기간동안 엄청난 속도로 발전하여, 현재 25 % 이상의 높은 광 변환 효율에 도달했다. 그러나, 유무기 하이브리드 페로브스카이트 태양 전지는 안정성, 유연성 및 투명성에 한계가 있어 페로브스카이트 태양 전지의 상용화를 제한한다. 본 논문에서는 이러한 문제를 해결하기 위한 전략들이 제안되었으며, 투명한 전극으로 구성된 페로브스카이트 태양 전지 구조를 통해 다양한 분야로의 적용 및 상용화 가능성이 입증되었다.

먼저,  $\text{NiO}_x$  정공전달층과 비교하여 상당히 개선된 충전 계수를 나타내는 Li 도핑된  $\text{NiO}_x$  정공전달층을 제안하였다. 또한, Li 이온을 도핑함으로써  $\text{NiO}_x$  층의 열처리 온도를 낮출 수 있음이 밝혀졌다. Li 도핑된  $\text{NiO}_x$  정공전달층을 적용한 페로브스카이트 태양전지는 전류 및 광 전압에 변화없이 충

진 계수 향상에 의해 변환 효율이 증가하였다. 전도성 원자력 현미경 연구에 따르면  $\text{NiO}_x$ 에 Li 이온을 도핑하였을 때,  $\text{NiO}_x$  층의 전도성이 크게 증가하여 소자의 직렬 저항이 감소하고 충전 계수와 광전변환 효율이 증가함을 알 수 있었다.

둘째, 다기능성을 띄는 GO층을 페로브스카이트 태양전지의 정공전달 층 및 외부환경에 대한 완충층으로 적용하였다. 적절한 두께를 갖는 GO 층은 높은 투과율을 나타내고, 페로브스카이트 층으로부터 효율적으로 정공을 추출하여 페로브스카이트 태양 전지의 우수한 정공수송층으로서 사용될 수 있음을 나타낸다. 특히, GO 층의 장벽 특성은 폴리에틸렌나프탈레이트 (PEN) 기판의 높은 물 / 산소 투과성을 성공적으로 보상하고, 페로브스카이트 태양 전지의 장기 안정성을 상당히 개선시킨다. 또한, 종래의 Ag 상부 전극을 ITO (Indium Tin Oxide) 상부 전극으로 대체하여 투명 페로브스카이트 태양 전지를 제조함으로써, 이중면 광 입사를 가능하게 하여 고효율 탠덤태양전지와 같은 다양한 광전자 소자로의 응용을 가능하게 한다.

마지막으로, n-i-p 구조의 페로브스카이트 태양전지에서도 기존의 spiro-OMeTAD 상부에  $\text{WO}_3$ 를 용액공정을 통해 증착하여 투명 상부 전극을 적용 가능하게 하였다. 이러한 간단한 공정만으로도 투명전극 형성을 위해 행해지는 스퍼터링 공정의 데미지를 막아 주었고 그 성능에 대한 평가는 소자 특성 평가 및 전기적 특성 평가에 의해 이루어 졌다. 따라서 양방향 입사가 가능한 또 다른 구조의 태양전지를 구현을 통해 기존에 활발히 연구되고



있는 p-i-n 구조의 탠덤태양전지 뿐 아니라 n-i-p 구조의 탠덤태양전지의 구현이 보다 용이 해졌음을 보여준다.

이 논문은 화학적 안정성이 확보된 전하 수송 물질 부족, 유연성이 낮은 소자 구조 및 불투명 전극과 같은 페로브스카이트 태양 전지의 현재 문제에 대한 해결에 중점을 두었다. 안정적인 무기 또는 탄소 기반 정공 수송 물질을 반투명 소자 구조를 가지는 페로브스카이트 태양전지에 적용하여 현재의 문제점을 해결하고 상용화 가능성을 입증하였다. 이러한 연구 결과가 페로브스카이트 태양전지의 상용화 및 다양한 소자로의 활용에 활발하게 적용될 것으로 기대된다.

**주요어:** 페로브스카이트 태양전지, 전하전달층, 무기기반 전하전달층, 탄소 기반 전하전달층, 소자 안정성, 양방향 조사, 유연 태양전지 소자, 저온 공정

**학 번:** 2017-33377

# Research Achievements

## 1. List of Publications

- 1) **M.-A. Park**, I. H. Hong, S. J. Park, S. K. Hwang and J. Y. Kim, Solution processable WO<sub>3</sub> beffer layer for perovskite solar cell with transparent electrode, In preparation.
- 2) I. H. Hong, <sup>†</sup> **M.-A. Park**, <sup>†</sup> and J.Y. Kim, Dye molecule additive engineering for high efficiency perovskite solar cells with long life time and high quality grain, In preparation (<sup>†</sup>equal contribution)
- 3) S.J.Sung, <sup>†</sup> **M.-A. Park**, <sup>†</sup> J. Park, J.W. Lee, J.Y. Kim and C.R. Park, Transparent all carbon-based electrodes for efficient perovskite solar cells, *Adv. Energy Mater.*, **2019**, submitted. (<sup>†</sup>equal contribution).
- 4) **M.-A. Park**, <sup>†</sup> S.J. Sung, <sup>†</sup> I.H. Hong, I.J. Park, C.R. Park, and J.Y. Kim, Bi-functional graphene oxide hole-transporting and barrier layers for transparent bi-facial flexible perovskite solar cells, *Nanoscale*, **2019**, submitted. (<sup>†</sup>equal contribution)
- 5) K.B. Cheon, S.K. Hwang, S.W. Seo, J.H. Park, **M.-A. Park** and J. Y. Kim, Roughness-Controlled Cu<sub>2</sub>ZnSn(S,Se)<sub>4</sub> Thin-Film Solar Cells with Reduced Charge Recombination, *ACS Appl. Mater. Interfaces* **2019**, 11, 24088-24095.
- 6) D.S. Lee, S. W. Seo, **M.-A. Park**, K. B. Cheon, S. G. Ji, I. J. Park, J. Y. Kim, Electrochemical approach for preparing conformal methylammonium lead iodide layer on the textured silicon substrate, *Electrochemistry Communications*, **2019**, 103
- 7) I. J. Park, J.H. Park, S.G. Ji, **M.-A. Park**, J.H. Jang and J. Y. Kim, A Three-Terminal

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- 10) I.J. Park, S. Seo, **M.-A. Park**, S. Lee, D.H. Kim, K. Zhu, H. Shin, and J.Y. Kim, Effect of Rubidium Incorporation on the structural, Electrical, and Photovoltaic Properties of Methylammonium Lead Iodide-Based Perovskite Solar Cells. *ACS Appl. Mater. Interfaces* **2017**, 9, 41898–41905